





THE STATISTICAL EFFICIENCY OF ECHO SURVEYS  
WITH SPECIAL REFERENCE TO LAKE TANGANYIKA

by

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## PREPARATION OF THIS DOCUMENT

As science is becoming more and more important in African inland waters where FAO Projects are operating, new techniques have been used in a variety of instances for quick assessment of the characteristics of the surveyed populations. A quantitative acoustic survey carried out in Lake Tanganyika, in cooperation with the Fishery Projects of Tanzania and Burundi, aims to map the distribution and estimate the abundance of the pelagic fish in the lake. This document discusses the statistical aspect of the echo survey design and operation which govern the accuracy and precision of the abundance estimation.

### Acknowledgement

I would like to thank Messrs. D. Chapman, M. Mann, O. Enderlein, W. Ferro and especially R.A. Ryder and K.A. Johannesson, who have provided helpful criticism.

### Distribution

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## ABSTRACT

An acoustic survey<sup>1/</sup> assessed the total biomass and distribution of pelagic fish in Lake Tanganyika (Lat. 5°S, Long. 29°E) in November 1973. The lake was post-stratified and by using graphic methods, total biomass of the surveyed population was estimated at about 2.8 million metric tons. Night sample observations were significantly higher than day-light observations with an estimated average ratio 4:1. Night estimates were strongly over-dispersed and fitted the negative binomial distribution. Day estimates were also over-dispersed and after a proper smoothing of the empirical data fitted the negative binomial distribution. The arithmetic data were log-normalized for use in any further statistical analysis.

The acoustic surveys have been compared with the traffic surveys and suggestions have been made for the development of Quality Check Surveys of Echo Surveys.

The Mean Difference of GINI has proved to be useful for providing indications of the level of dispersion of pelagic fish in the lake by stratum and by day and night periods. Also, the dispersion pattern of pelagic fish in the lake can effectively be portrayed by use of oblique projection charts.

The sources and causes of potential errors inherent in echo surveys are discussed and their spectrum has been classified into domains by taking into account the nature of the errors. It is indicated that the joint effect of the various sources of errors (sampling and non-sampling errors) are responsible for the observed differences between night and day sample observations.

An estimator based on the method of collapsed strata has proved effective for estimating both the size of the total biomass of pelagic fish and its precision. It has been proved that the level of efficiency of the line sample of the survey is a function of the density of fish, and that for increasing the precision of sample estimates the distance between tracks should be reduced in proportion to the density of the surveyed fish population.

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<sup>1/</sup> The required data for this study were kindly provided by Mr. K.A. Johannesson, Fishery Resources Officer (Acoustic Surveys), FAO; see also: K.A. Johannesson, Preliminary quantitative estimates of pelagic fish stocks in Lake Tanganyika by use of echo integration methods, EIFAC/74/I/Symp.54



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## CHAPTER 1: AN OUTLINE OF THE PROBLEM

1.1 Introduction

In the field of applied fishery research a quick assessment of the absolute abundance and distribution of fish stocks is of a great theoretical and practical importance. Acoustic assessment techniques have been used in a variety of instances and are rapidly replacing the older classical methods, primarily because of the rapidity with which estimates can be made and the relatively low cost of operation beyond the initial outlay.

1.2 The nature of the problem

The large-scale acoustic survey at Lake Tanganyika (surface area of 10 000  $\text{km}^2$ ) was apparently the largest of its kind in freshwater research, a fact that increases the importance of the obtained results and their interpretation. A critical evaluation of the survey system of the survey would provide the information needed for an assessment of the level of efficiency of the design of the survey and the level of reliability of the sample estimates. Specifically, this paper services two purposes: (a) According to the design of the survey, estimates of the absolute biomass were calculated by using a "graphical" method. This method of estimation, although simple, cannot be used to calculate the precision of the sample estimates. The need for developing proper estimators for the survey is obvious; (b) Echo surveys should be classified in the category of "sophisticated" surveys. Although the quality of the field operations of the echo survey in Lake Tanganyika was high it is very desirable at this stage to check the validity of the measuring device. Specifically, by taking into account the peculiarities of the surveyed population, we detect the potential sources of errors inherent in the survey system of the survey and estimate their effect on the results of the survey.

## CHAPTER 2: THE DISTRIBUTION OF FLOW OF PELAGIC FISH

By using imagination one can compare the flow of fish at any point in a lake per unit of time with traffic flow. The specimen-mileage corresponds to vehicle-mileage and expresses the number of miles swum by a fish in a water-road (three-dimensional space) or set of roads during a specified period. In order to simplify my analytical work I would like to supplement my terminology describing the distribution of flow of pelagic fish:

- (a) Total survey area (A): Is the total area in a lake covered by an echo survey.
- (b) If one can imagine that, at time  $t_0$ , all the water-roads laid down end to end, the corresponding total area (surface area) would be called the total fish area<sup>1/</sup> ( $A_f$ ).
- (c) The general "packing"<sup>2/</sup> of fish within a water-road or set of water-roads can be expressed by layers of fish or shoals of fish. Further, a scaling system should be established expressing qualitatively the level of packing of fish, e.g. very dense, dense, etc. A quantitative assessment of the level of packing of fish is expressed by the quantity of fish per area unit (number of fish/area unit or weight of fish/area unit).

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<sup>1/</sup> Although a volume-unit is more realistic than an area-unit in this context, to simplify our analysis one can think of fish in a three-dimensional sense in a lake being projected on a two-dimensional surface

<sup>2/</sup> From an aggregation point of view

It seems to me that, in any attempt for estimating the dispersion pattern of a fish population at time  $t_0$ , the peculiarities of the survey population should be taken into account. One can see specific dispersion patterns rather than a general dispersion pattern of the fish population. For example, the general packing of one sub-population of fish, the quantitative level of packing of fish, and the spatial distribution of fish in a set of roads might be different from that of a second sub-population of fish occupying another set of roads. Innate behaviour patterns of individuals within a shoal and other factors might be responsible for the statistical significance between the organic structure of the sub-populations.

As one can see, our imaginary water-road system is not fixed over time. Also, on a static basis, it is impossible to use simple geographical rules in order to define a water-road system in a lake. If this information was available at the process of designing an echo survey, the efficiency of the sampling design of the survey would be very high.

It has been argued that an objective assessment of the distribution of flow of pelagic fish is impossible prior to the design of an echo survey. However, indications of the distribution of flow of pelagic fish at time  $t_0$ , can be obtained after the survey has been conducted and by using the sample observations of a properly designed echo survey.

### CHAPTER 3: SAMPLE SURVEY PROCEDURE (LAKE TANGANYIKA)

#### 3.1 Composition of pelagic stock<sup>1/</sup>

In Lake Tanganyika all pelagic biomass is composed of six species. The prey include the two small clupeids Limnothrissa miodon and Stolothrissa tanganyika. The four predators comprising three very similar endemic species of the genus Lates (L. angustiphrus, L. mariae and L. microlepis), and another endemic predator of smaller size and presumably shorter life history, Luciolates stappersii. Catch data indicate that more than half of the catch is composed of clupeids. In the survey reported here no attempt was made to differentiate biomass estimates by species.

#### 3.2 The sampling method

From a statistical point of view the sampling method of the echo survey at Lake Tanganyika can be described as a Stratified Random Line Sample (SRLS). Past experience has proved that this method of sampling is useful when an area sampling frame is used for the selection of the sample of a survey<sup>2/</sup>. In our case, pre-determined survey tracks were designed as a parallel grid with a total of 48 transects across practically the whole length of the lake at 6 n mi intervals for the northern half of the lake, and at 10 n mi intervals for the southern half. The survey track in Burundi/Zaire waters was run twice giving two estimates for that part of the lake which were separated by a 14-day interval<sup>3/</sup>.

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<sup>1/</sup> See also: Henderson, Ryder and Kudhongania (1973): Assessing Fisheries Potentials of Lakes and Reservoirs, FAO-FI:FMD/73/S-32

<sup>2/</sup> See also: The Design of Fisheries Statistical Surveys, Inland Waters, FAO-FIPS/T.133, by G.P. Bazigos, p.80

<sup>3/</sup> The field work of the survey was carried out during a 30-day period between 20 October and 24 November 1973, of which 12 days were spent working out methods to catch and preserve live fish, in order to calibrate the equipment experimentally against pelagic fish species

### 3.3 Field operations<sup>1/</sup>

For the field operations of the survey the research vessel LADY ALICE II of the Burundi Fishery Project (LOA 39 ft) was used. A Simrad scientific sounding system, consisting of an EK 120 (120 KHZ) sounder coupled with complementary electronic instrumentation, was temporarily installed in the wheelhouse. The instruments included: echo integrator QM-MK II, Hewlett Packard storage oscilloscope, electronic counter, signal generator, AC amplifier-voltmeter, and signal attenuator. A calibrated test hydrophone was also included.

For survey purposes the vessel speed was adjusted to 6 knots and continuous manual data logging was carried out every 20 minutes, thus giving 2 n mi as the elemental sampling distance unit (ESDU).

Generally, the two integrator channels (A and B), with 10 decibel difference in gain setting, were adjusted to cover the whole depth interval of the fish distribution. This procedure prevented vertical stratification of the echo integration, but it made it possible to cope with the wide dynamic range of echo intensities encountered.

### 3.4 The sample of the survey

In order to simplify the field operations of the survey and provide estimates on a regional basis, the lake was divided into five areas, here called strata. In the Table below (Table 3.4.1) the established strata are given. Also, information is provided on the number of sample ESDUs and area of individual stratum (n mi<sup>2</sup>) of the surveyed population.

Table 3.4.1 The sample of the survey

Strata		No. of sample ESDUs		Total survey area	
Name	Location (Lat.)	2 mi	%	n mi <sup>2</sup>	%
TOTAL:		600	100.00	6 458	100.00
1. Burundi/ Cap Banza	03°20'- 04°30'S	104	17.33	698	10.81
2. Area near Kigoma	04°30'- 05°40'S	175	29.17	1 410	21.83
3. Area near Lagosa	05°40'- 06°30'S	104	17.33	1 121	17.36
4. Area near Kipili/Karema	06°30'- 08°00'S	160	26.67	2 446	37.88
5. Zambia	08°00'- 08°45'S	57	9.50	783	12.12

From the tabulated data (Table 3.4.1) one can see that the allocation of the total number of sample ESDUs to the strata is more or less proportional to the area of the strata.

<sup>1/</sup> See footnote on page iv

### 3.5 The procedure for estimating total biomass

According to the sampling design of the survey the estimated total fish biomass ( $\hat{W}_B$ , weight) can be regarded as the product of two magnitudes<sup>1/</sup>:

$$(1) \quad \hat{W}_B = \sum_{h=1}^S A_h \hat{\rho}_{h(B)}$$

where,

$A_h$  : Area of the survey population (n mi<sup>2</sup>) in the h<sup>th</sup> stratum

$\hat{\rho}_{h(B)}$  : Estimated average fish biomass density (t) per area sampling unit (ASU = 1 n mi<sup>2</sup>) in the h<sup>th</sup> stratum

Further,  $\hat{\rho}_{h(B)}$  is the product of two magnitudes each of which is estimated independently.

$$(2) \quad \hat{\rho}_{h(B)} = \hat{M}_h \hat{C}$$

where,

$\hat{M}_h$  : Estimated average integrator readings (mm) per ESDU in the h<sup>th</sup> stratum

$\hat{C}$  : Estimated general converting factor. On the basis of  $\hat{C}$  the integrator readings per elemental sampling distance unit are converted into metric tons per ASU (t/n mi<sup>2</sup>).

For the estimation of  $\hat{M}$  the sample data of the acoustic survey were used. An estimate of  $C$  was obtained through an ad hoc simulation survey, i.e. calibration of the acoustic equipment experimentally against a standard density of live fish (see footnote on page iv).

From the foregoing discussion it is obvious that the level of reliability of  $\hat{W}_B$  is a function of the level of reliability of  $\hat{\rho}_B$ , which in turn is a function of the levels of reliability of  $\hat{C}$  and  $\hat{M}$ .

## CHAPTER 4: A QUICK VALIDATION OF THE RESULTS OF ECHO SURVEYS

### 4.1 Introduction

In this chapter, the need for development of quality check surveys for a quick validation of the results of main echo surveys is discussed.

### 4.2 Quality Check Surveys of Echo Surveys (QCS-ES)

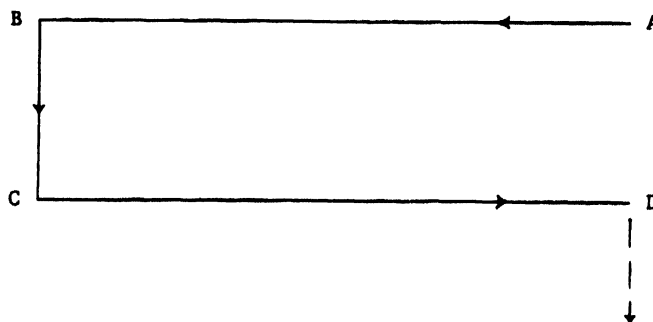
It has been discussed in a previous chapter (Chapter 2) that by using imagination the flow of fish at any point in a lake per unit of time can be compared with traffic flow. In such a case, one can argue that sampling methods can be developed to provide reliable estimates both of the volume of fish traffic on a static basis and the trend of this traffic on a dynamic basis. Further, the results of a properly designed fish traffic survey, based on small samples and running concurrently with an echo survey, can be used to check the level of reliability of the results of the main echo survey. For our purposes we shall call the specific fish traffic survey Quality Check Survey of the Echo Survey (QCS-ES).

<sup>1/</sup> For integration purposes, in this paper we can use the same notation as employed by K.A. Johannesson; see footnote on page iv

It should be noted that the object of the echo survey at Lake Tanganyika was to provide quick estimates of the area distribution and absolute abundance of the pelagic fish biomass in the lake. For this purpose the sampling method of the survey was based on Stratified Random Line Sample (see Chapter 3). By using imagination one can see that the sample tracks of the survey are check points (lines) in the water-roads, and the sample observations indicate the volume of fish passing through the check points at instantaneous periods of time. As one can observe, the echo survey does not collect information on the volume of fish traffic.

The justification of my theoretical proposals for using specific fish traffic surveys for a quick validation of the results of echo surveys can be explained in simple language as follows. Assuming that by using certain control characteristics for stratification the lake is divided into a number of vertical hydrographic zones and that each zone represents a set of water-roads. Assuming also that a systematic sample of check points is selected within each zone, then by using a proper device, information can be collected at each sample check point on the fish traffic for specified periods of time. The length of the "reference period" at checking points should be a function of the dispersion pattern and the level of movement of pelagic fish. As a parenthesis, I would like to say here that, in my opinion, one of the sources of errors responsible for the observed differences between night and day measurements could be attributed to the experimental conditions of the survey as far as the "reference period" is concerned<sup>1/</sup>. Specifically, in the echo survey the sample observations were based on instantaneous reference periods of time ( $t_e$ ) for day and night measurements. By doing so we introduced the assumption of homogeneity over time as far as dispersion pattern and level of movements of pelagic fish are concerned. This, however, is not the case in Lake Tanganyika.

In the Figure below a grid of the line sample of the survey is portrayed, consisting of two parallel tracks (AB, CD) and the vertical line BC.



From the foregoing discussion one can note that only the parallel lines AB, CD should be considered as check points in our water-road system. Measurements along the line BC should be excluded because they provide information on the following two heterogeneous magnitudes: (a) vertical distribution and abundance of pelagic fish moving in the same direction with the research vessel; and (b) measurements of the volume of fish crossing the line on an instantaneous reference period basis.

If there was regularity in the dispersion pattern and the level of movement of pelagic fish, one could expect that the sample observations at AB should not differ significantly from those at CD, and that the  $t_e$  should be considered as the proper reference period for the survey system of the survey. In such a case the need of a QCS-ES can hardly be justified. One can argue that differences in the values of average integrator readings per elemental sampling distance unit between the parallel lines (total or stratified), give an indication of the level of irregularity in the dispersion pattern and level of movements of pelagic fish<sup>2/</sup>.

<sup>1/</sup> See Chapter 6

<sup>2/</sup> See Chapter 5

QCS-ESs are of value when the assumption of regularity as described above is not valid. In such a case QCSs are needed to detect the potential sources of error and to estimate their effect on the results of the main echo surveys.

External estimates, i.e. estimates obtained through classical methods of estimating standing crops of fish, can also be used in order to provide indications of the level of validity of the results of an echo survey.

## CHAPTER 5: ESTIMATED DISPERSION PATTERN OF PELAGIC FISH IN LAKE TANGANYIKA

### 5.1 Introduction

In this Chapter, by using the sample data of the echo survey, indications are provided regarding the dispersion pattern of pelagic fish in the lake. To permit a simple presentation of the dispersion pattern, elementary statistical methods have been used<sup>1/</sup>.

### 5.2 Estimated fish area ( $A_f$ )

Are pelagic fish everywhere in the lake (survey area)<sup>2/</sup>? The answer to the question is no. The estimated confidence limits for the proportion of ESDUs with fish ( $p_i$ ) are for the lake as a whole 69 percent lower limit, and 80 percent upper limit ( $\alpha = 5$  percent). It is worth noting that, with the exception of Str.1, there are no significant differences in the estimated values of  $p_i$  between the individual stratum and for day and night measurements within strata. The Table below (Table 5.2.1) gives the estimated relative distribution of the survey area into fish area ( $p_i$ ), and the remaining area ( $q_i = 1 - p_i$ ) by stratum and by day and night periods of time. Also, Table 5.2.2 gives the estimated confidence intervals for  $\hat{P}_i$  ( $\alpha = 0.05$ ).

**Table 5.2.1** Estimated percentage distribution of the survey area into fish area ( $p_i$ ) and the remaining area ( $q_i$ ) by stratum and by day and night periods of time

Day time/proportions							
Space	Proportion	Total	Str.1	Str.2	Str.3	Str.4	Str.5
Total:	-	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000
Fish area	$p_i$	0.7457	0.7500	0.8072	0.6591	0.7812	0.3333
Remaining area	$q_i$	0.2543	0.2500	0.1928	0.3409	0.2188	0.6667
Night time/proportions							
Total:	-	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000
Fish area	$p_i$	0.6973	0.9200	0.6167	0.8000	0.7500	0.4167
Remaining area	$q_i$	0.3027	0.0800	0.3833	0.2000	0.2500	0.5833

<sup>1/</sup> See also Allendix I, Mathematical Model for Acoustic Survey in Lake Tanganyika

<sup>2/</sup> For our analysis the sample data of the horizontal tracks only were used

**Table 5.2.2** Estimated confidence limits for  $P_i$   
( $P = 95\%$ )

Day time											
Total		Str.1		Str.2		Str.3		Str.4		Str.5	
Min.	Max.	Min.	Max.	Min.	Max.	Min.	Max.	Min.	Max.	Min.	Max.
0.6895	0.8019	0.5976*	0.8024*	0.7218	0.8926	0.5174	0.8008	0.6791	0.8833	0	0.7176
Night time											
0.6307	0.7639	0.8030*	1.0000*	0.4926	0.7408	0.6544	0.9456	0.6206	0.8794	0.2136	0.6198

\* The samples have been selected from two different populations as far as the surveyed characteristic is concerned

Figure 5.2.1 provides a graphical explanation of the relative composition of the survey area. As one can see, an estimate of the absolute size of the fish area ( $A_f$ ) can be calculated by applying the estimated proportions ( $p_i$ ) on the total survey area which is a known magnitude (see Chapter 7).

### 5.3 Estimated level of dispersion

In our case the Mean Difference of GINI<sup>1/</sup> ( $d_i$ ) can be used in order to provide indications of the level of dispersion of pelagic fish in the lake. Specifically, GINI's method can be employed to provide both estimates of the absolute differences between one unit and another of the survey variate and a measure of the level of dispersion of the respective empirical distributions (average of the absolute differences between one unit and another).

By using the sample data I prepared the respective matrices indicating the absolute differences between the individual values of ESDUs (integrator's readings, mm - see Appendix II). Specifically, calculations were made on a stratum basis and separately for day and night periods of time (0600-2000, 2000-0600).

In the Table below (Table 5.3.1) the estimated values of  $d_i$  are given by stratum and by day and night periods<sup>2/</sup>.

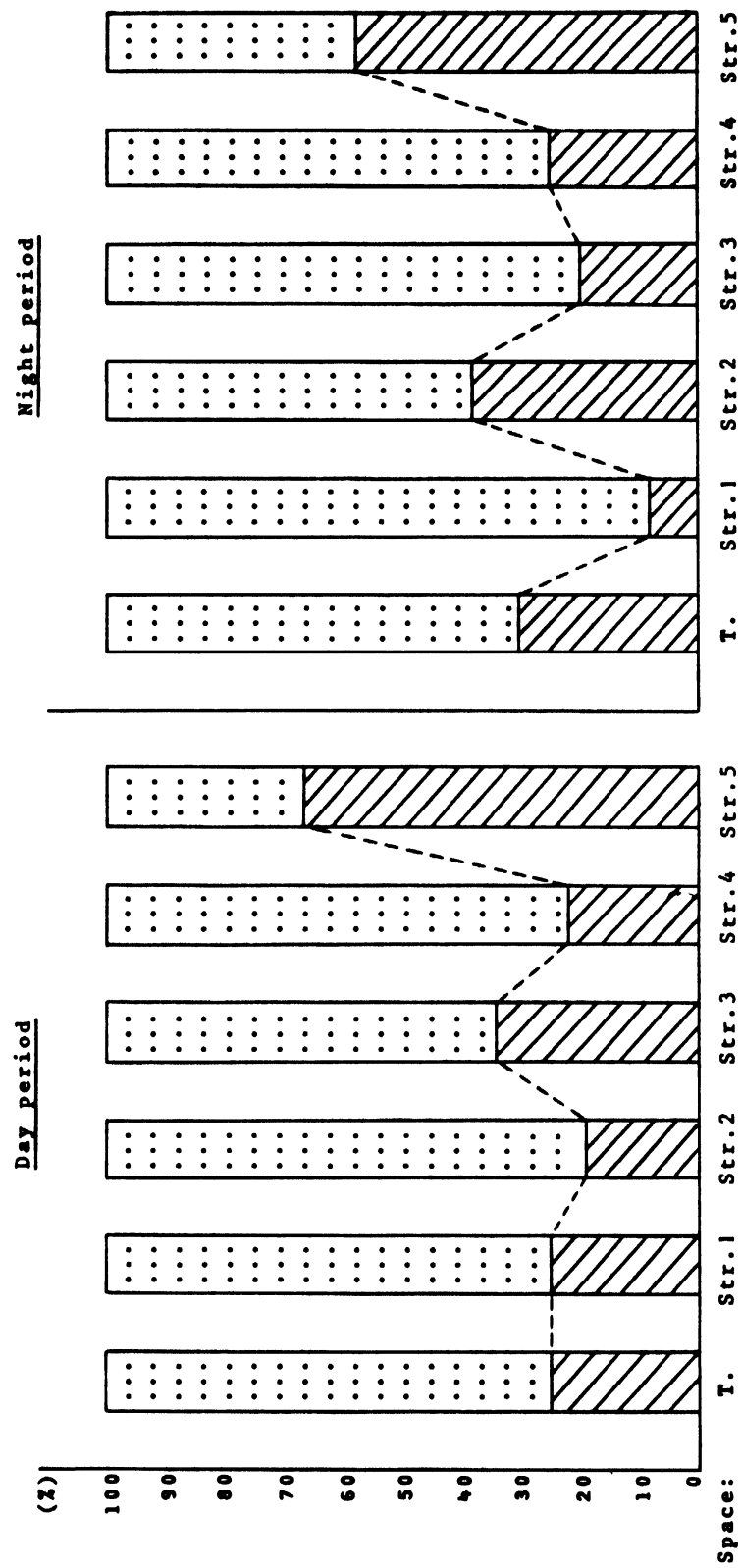
**Table 5.3.1** Estimated values of  $d_i$  and average integrator readings per ESDU ( $\bar{y} = \bar{M}$ ) by stratum and by day and night periods

Space	$d_i$ values (mm)		$\hat{R}_1 = \frac{(2)}{(1)}$ (3)	$\bar{y} (= \bar{M})$		$\hat{R}_2 = \frac{(5)}{(4)}$ (6)
	Day (1)	Night (2)		Day (4)	Night (5)	
Str.1	27.65	61.86	2.24	18.13	48.83	2.69
Str.2	39.61	197.33	4.98	25.54	116.10	4.55
Str.3	15.75	255.36	16.21	10.61	133.53	12.59
Str.4	150.42	487.41	3.24	91.98	284.20	3.09

<sup>1/</sup> See: G.P. Bazigos - Applied Fishery Statistics, FAO-FIPS/T.135, P. 33-36

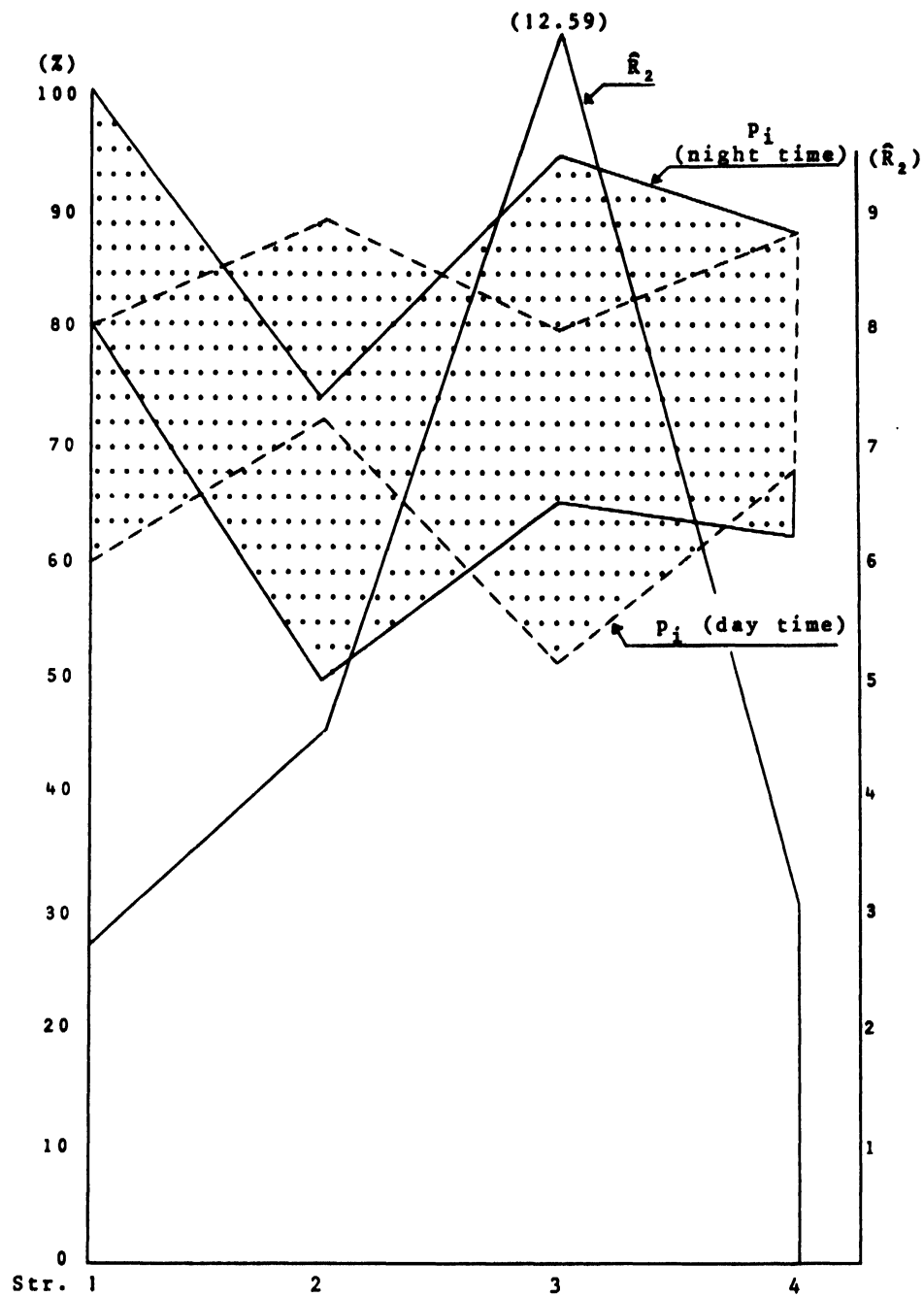
<sup>2/</sup> The sample observations of Stratum 5 were insufficient for this kind of analysis

**Figure 5.2.1** Estimated percentage distribution of the survey area into fish area (area with dots) and remaining area (area with lines), by stratum and for day and night periods of time





**Figure 5.3.1** Trends in space of the magnitudes  $p_i$   
(confidence interval  $P = 95\%$ ) and  $\hat{R}_2$



The tabulated data (Table 5.3.1) indicate that the estimated level of dispersion of pelagic fish expressed by the values of  $\hat{d}_1$  is higher during the night period than during the day period, and that there is a positive relationship between the abundance of fish ( $\bar{y}$ ) and level of dispersion of fish ( $\hat{d}_1$ ).

Another point of interest in this kind of analysis is the high variability in space of  $\hat{R}_2$ , column 6 in Table 5.3.1 ( $\hat{R}_2$  = estimated ratio of the abundance of fish between night and day periods). Other things being equal, based on two samples selected from the same population, one would expect that if the values of  $p_i$  do not differ significantly in space (between strata), then the estimated values of  $\hat{R}_2$  should follow a regular pattern. This, however, is not the case in strata 2, 3, and 4, in which the hypothesis,  $H_0 : P_2 = P_3 = P_4$  is valid. A graphical presentation of the estimated trends in space of the magnitudes  $p_i$  and  $\hat{R}_{2i}$  is given in Figure 5.3.1.

#### 5.4 A graphical presentation of the dispersion pattern

It is a common practice in the field of applied fishery statistics to use charts for presenting and explaining numerical data. In our case, charts in oblique projection are used to portray the dispersion pattern of pelagic fish in Lake Tanganyika.

A first assessment of the sample data of the echo survey indicates that, very roughly, we can establish three sets of water-roads in the lake:

Water-road 1 - ( $L_1$ ): It covers the east littoral zone of the lake

Water-road 2 - ( $L_2$ ): Open waters

Water-road 3 - ( $L_3$ ): It covers the west littoral zone of the lake

Figures 5.4.1 to 5.4.10, prepared for day and night periods on a stratum basis and for the lake as a whole, are based on the sample average integrator readings per ESDU ( $\bar{y}$ ). The diagrams allow an optical comparison of the magnitude  $\bar{y}$  vertically, between tracks within strata and between strata for the lake as a whole, and horizontally between water-roads. The values of the bars of  $L_1$  can be simply read on the  $\bar{y}$ -axis, whereas the values of the bars of  $L_2$  and  $L_3$  can be read on the  $\bar{y}$ -axis only by taking into account the correspondingly higher drawn axes of  $L_2$  and  $L_3$ . The numbers in the charts are the values of the estimated specific indices expressing relative changes of  $\bar{y}$  between the established water-roads.

A visual assessment of the prepared chart-units leads to the following conclusions:

- (a) Night measurements: There is consistency in the portrayed distribution of fish in space and  $L_2$  can be considered as the modal area of the distribution.
- (b) Day measurements: The consistency of the portrayed distribution of fish in space is a function of the recorded abundance of fish. Low abundance of fish fails to portray any clear dispersion pattern of fish (Str.3), whereas high abundance portrays a distribution similar to that of the night period<sup>1/</sup> (Str.4).

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<sup>1/</sup> See also: Chapman, D. (1974) - Distribution of biomass of pelagic fish in Lake Tanganyika, FAO-URT/71/012/7, Working Paper No.11

LAKE TANGANYIKA

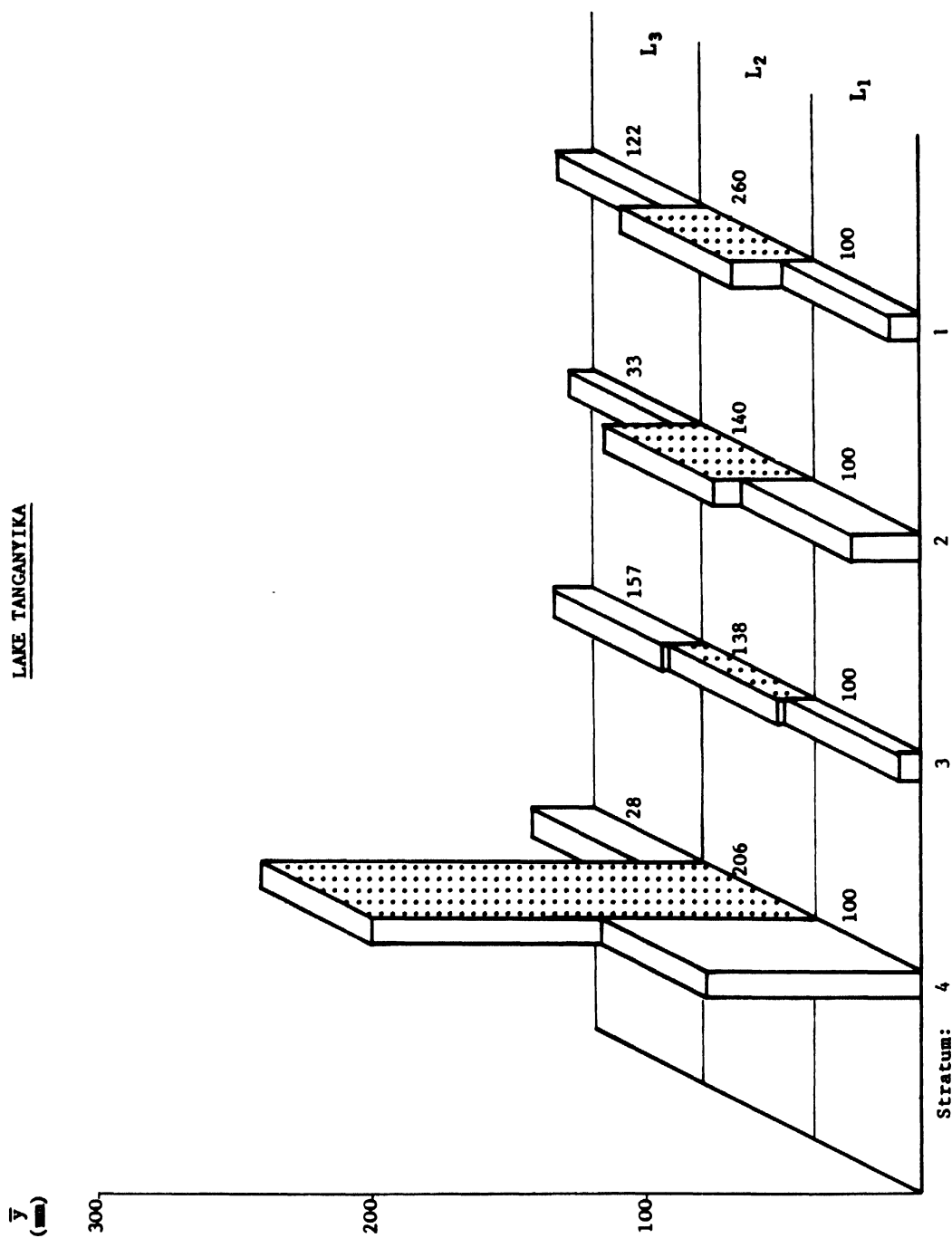


Figure 5.4.1 Dispersion pattern of fish for the lake as a whole: Day period

## LAKE TANGANYIKA

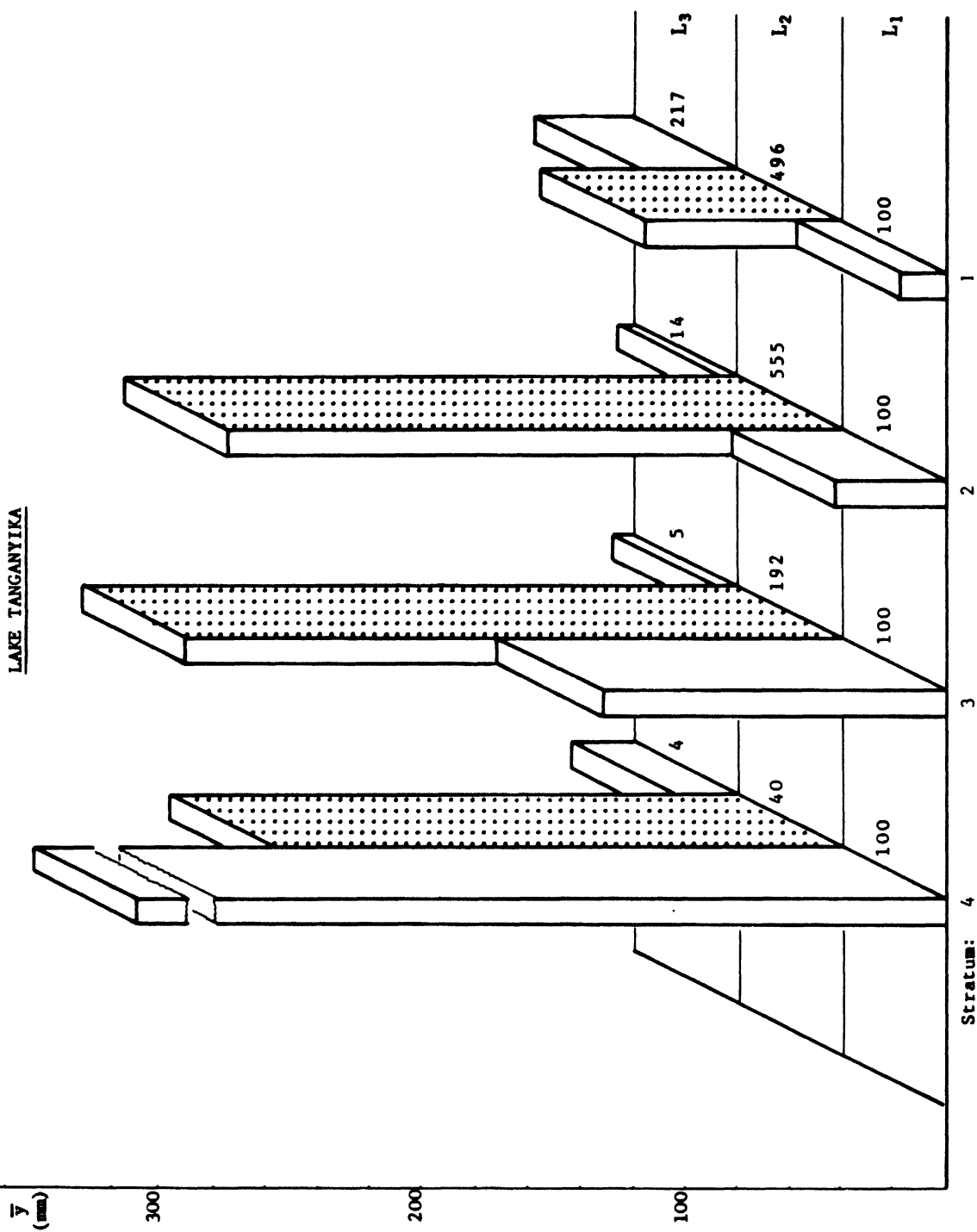


Figure 5.4.2 Dispersion pattern of fish for the lake as a whole: Night period

LAKE TANGANYIKA

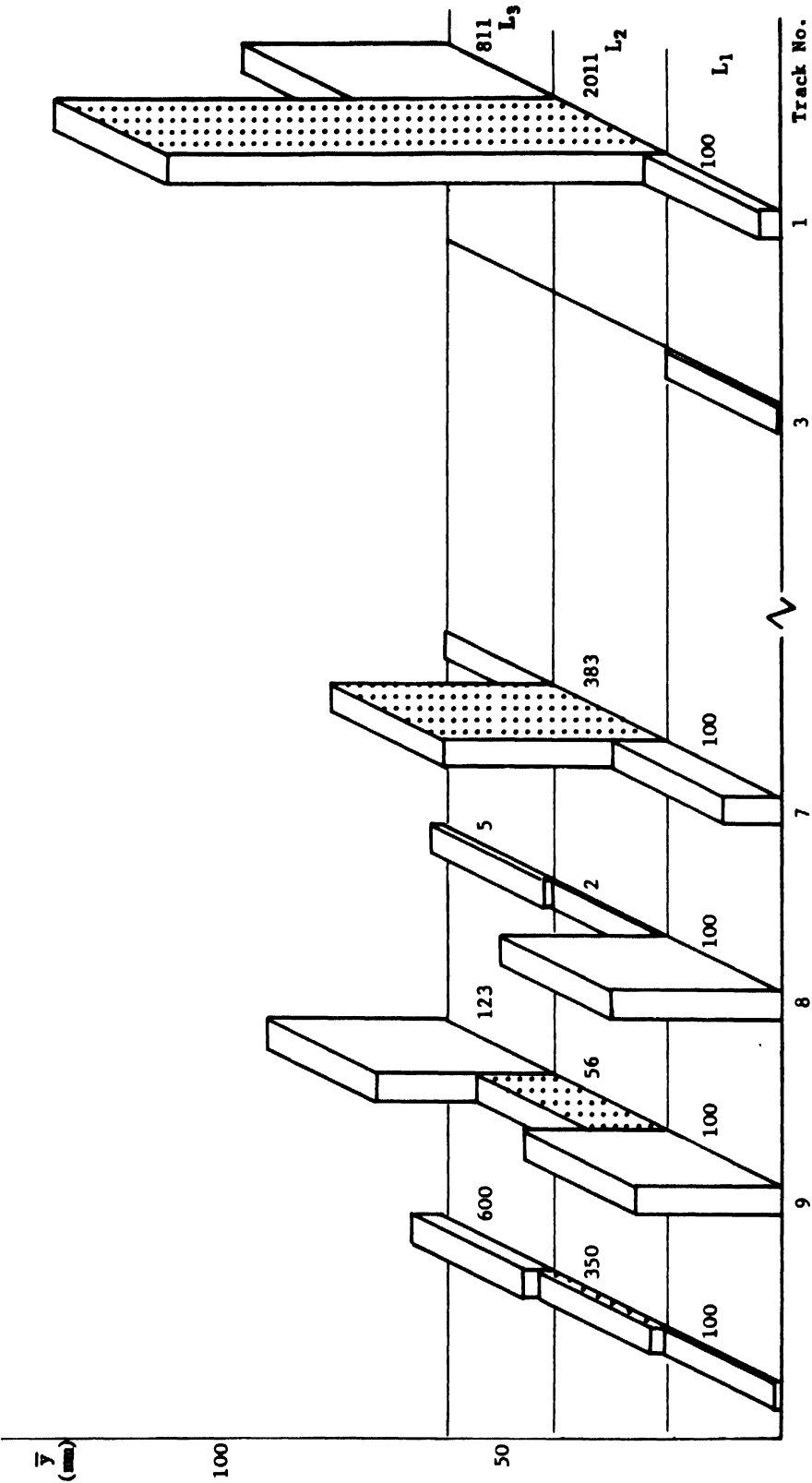


Figure 5.4.3 Dispersion pattern of fish within Stratum 1: Day period

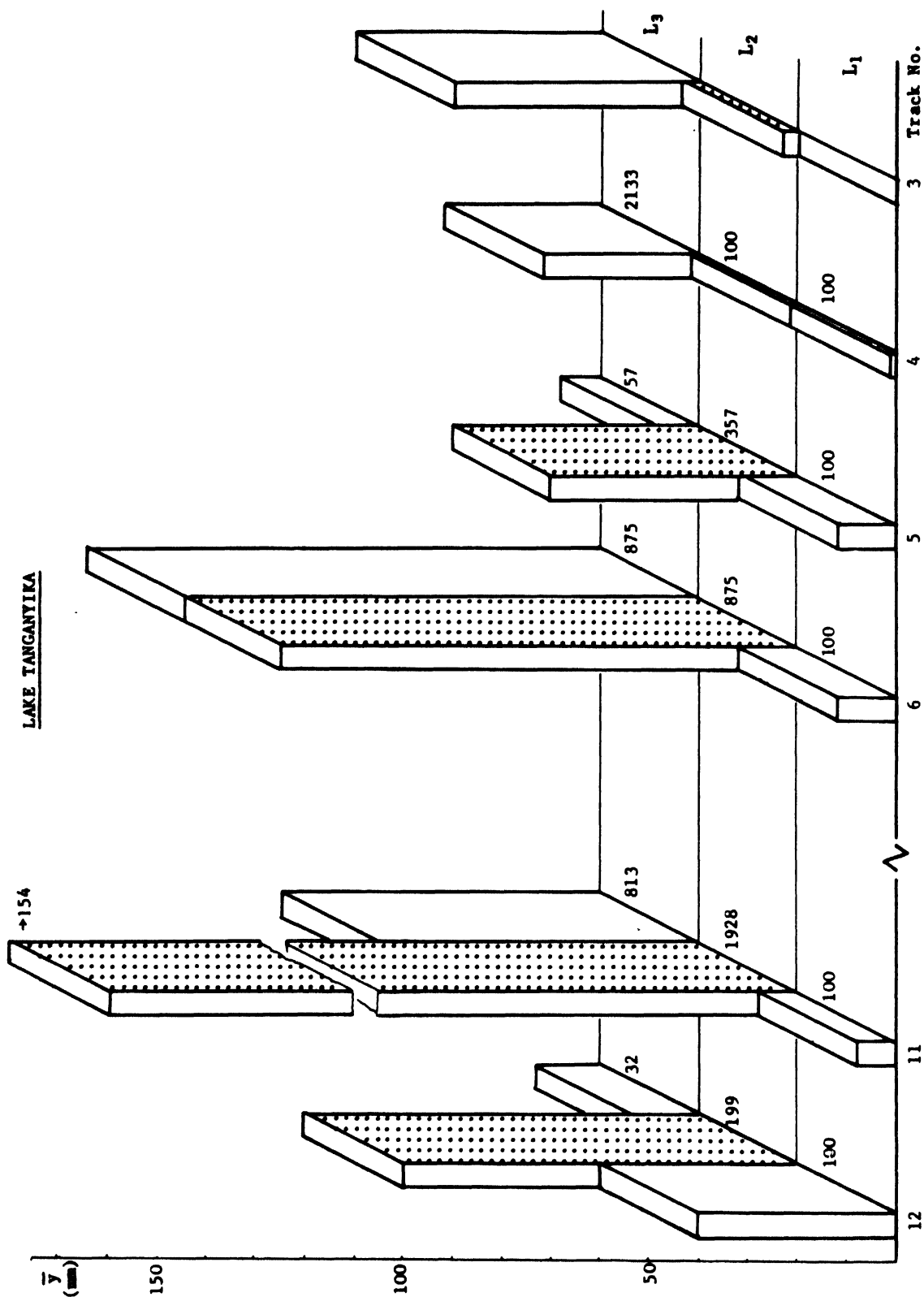
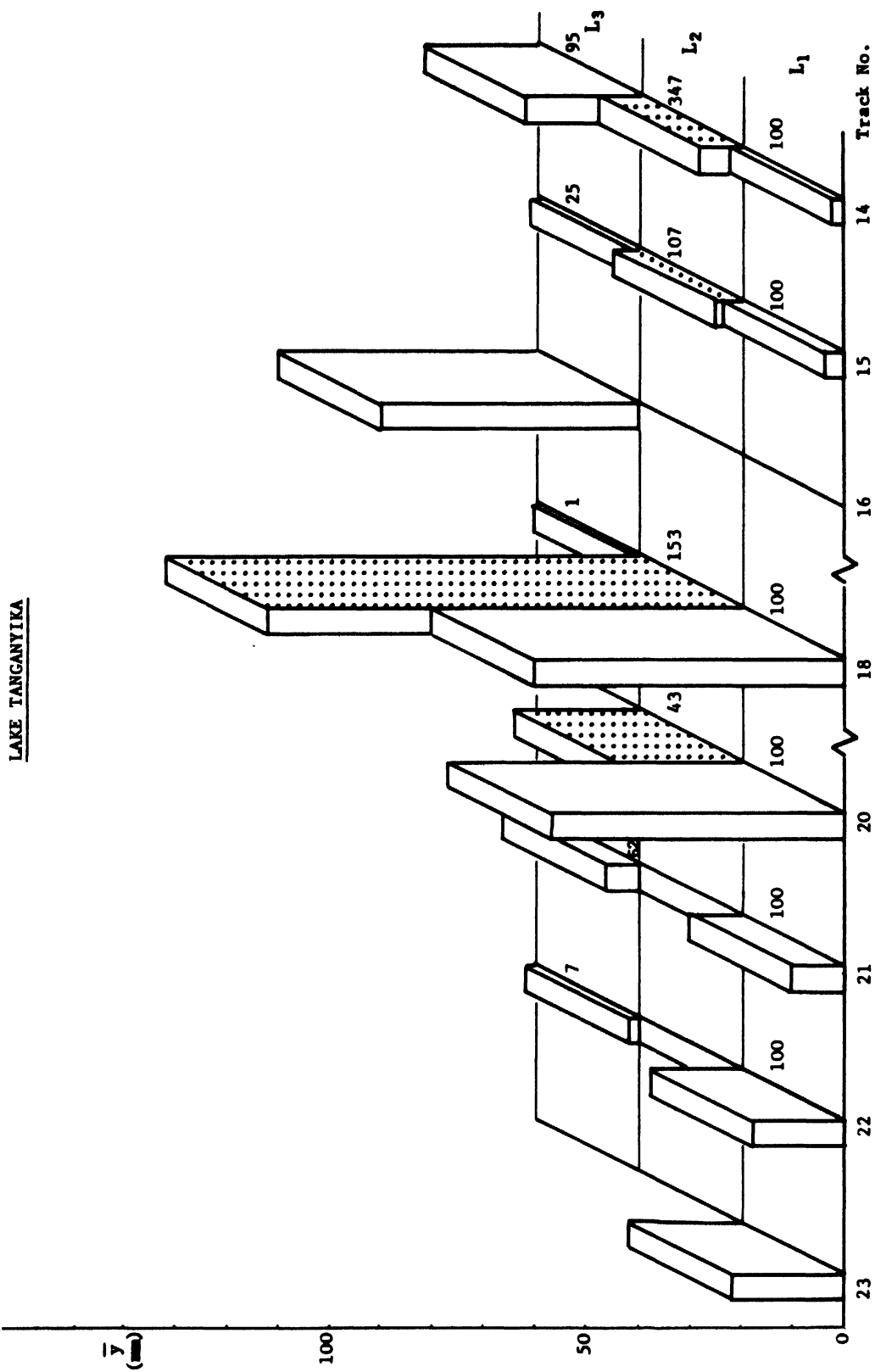


Figure 5.4.4 Dispersion pattern of fish within Stratum 1: Night period



**Figure 5.4.5** Dispersion pattern of fish within Stratum 2: Day period

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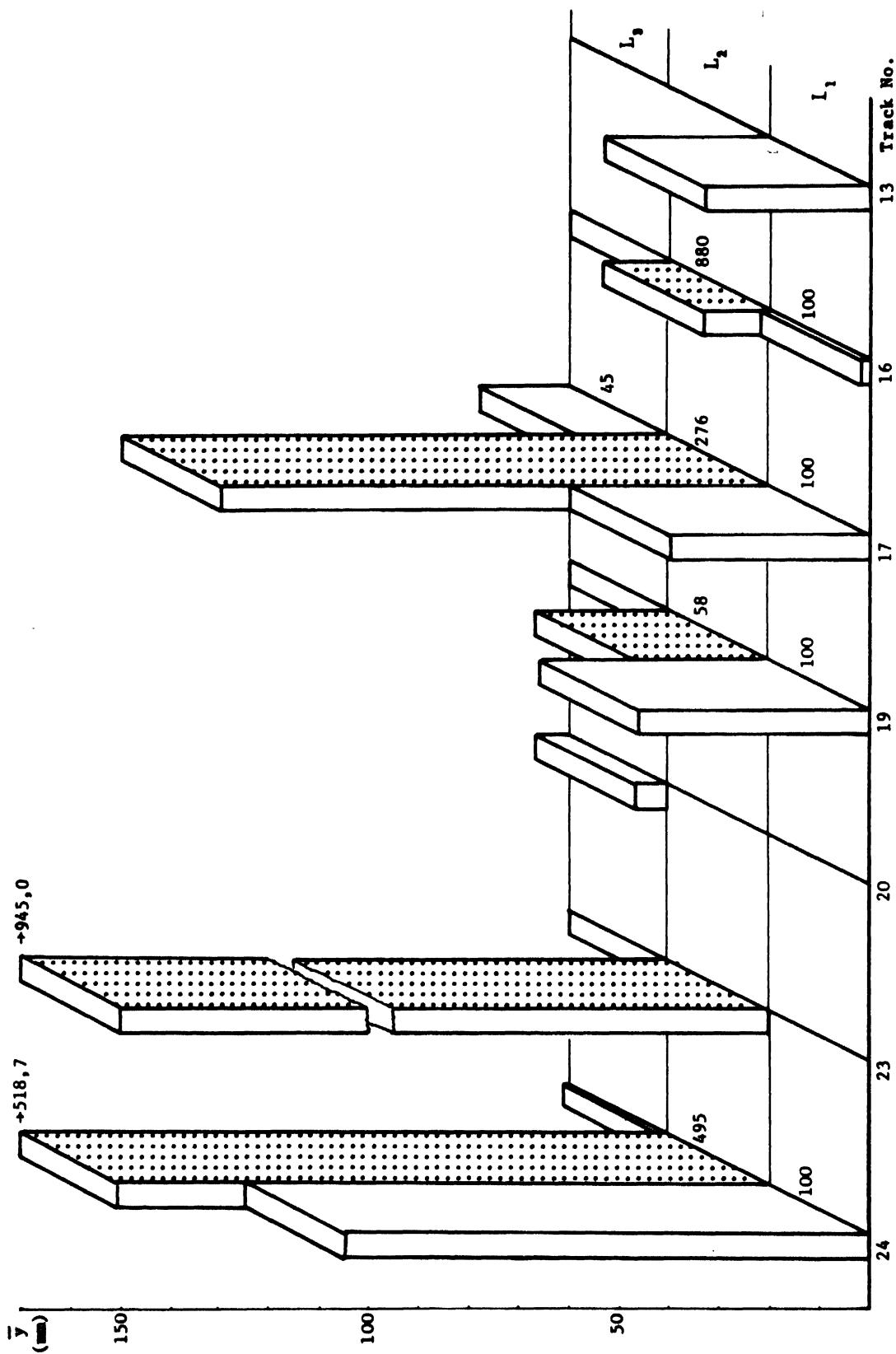


Figure 5.4.6 Dispersion pattern of fish within Stratum 2: Night period



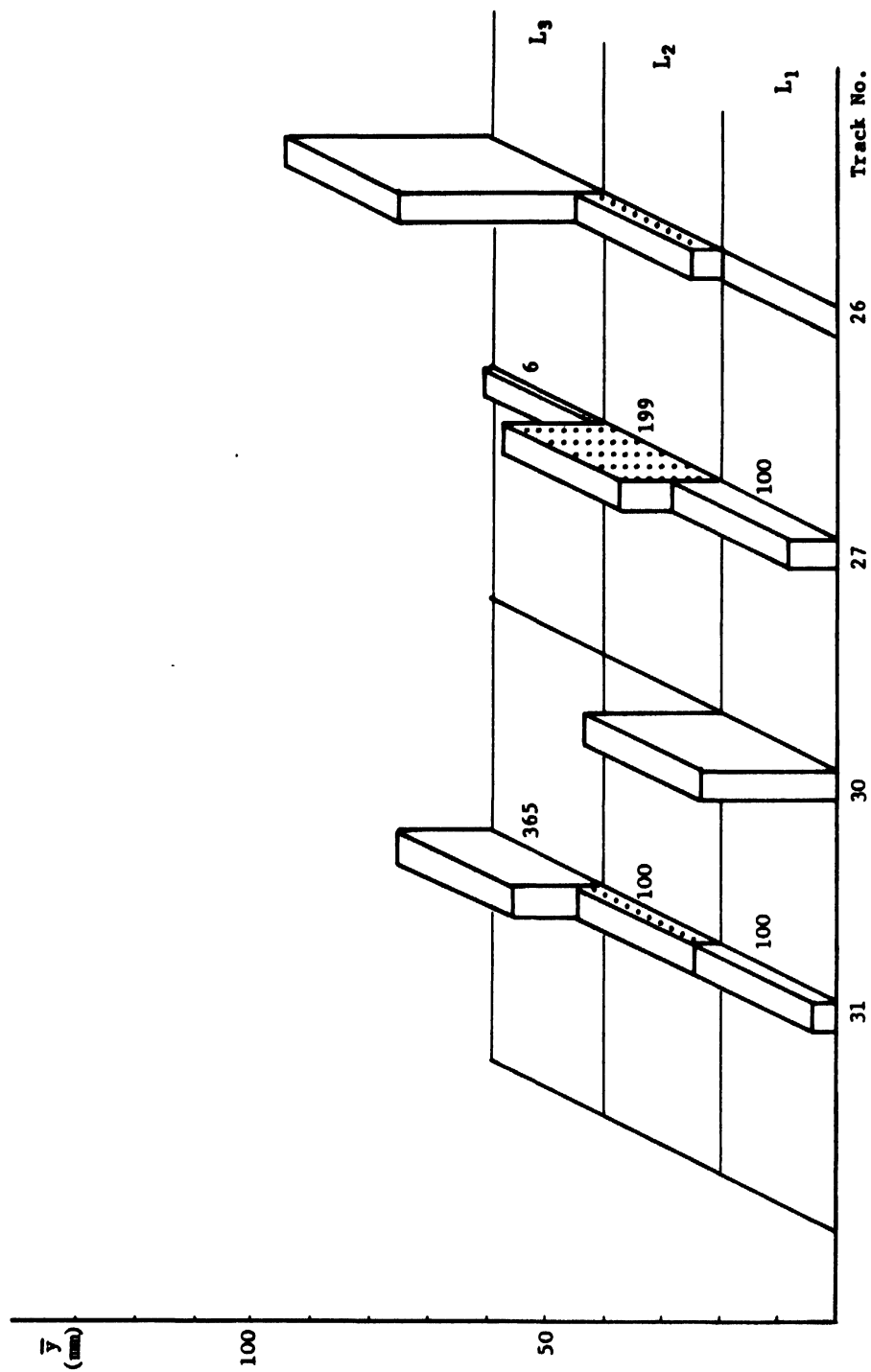
LAKE TANGANYIKA

Figure 5.4.7 Dispersion pattern of fish within Stratum 3: Day period

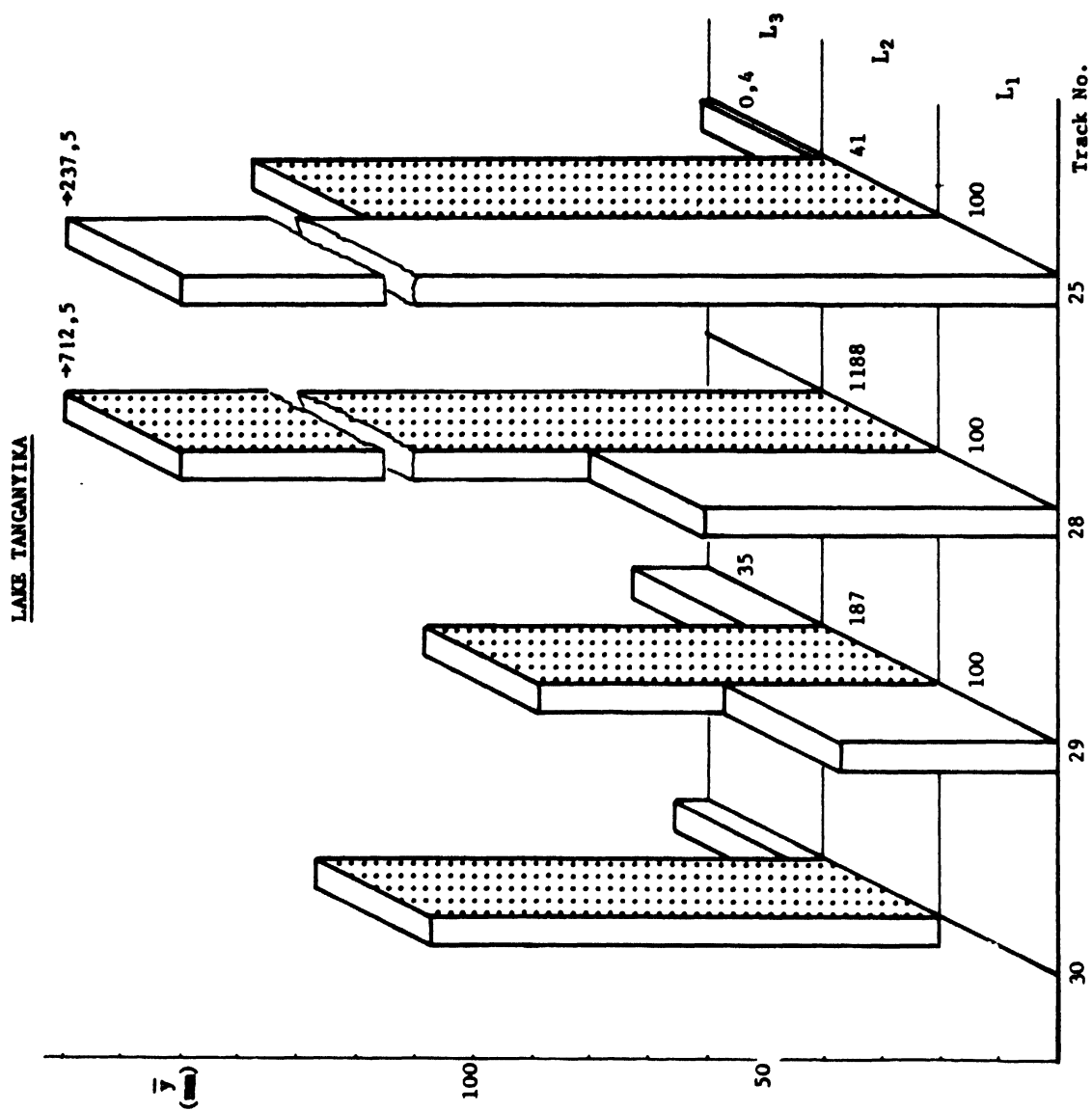


Figure 5.4.8 Dispersion pattern of fish within Stratum 3: Night period

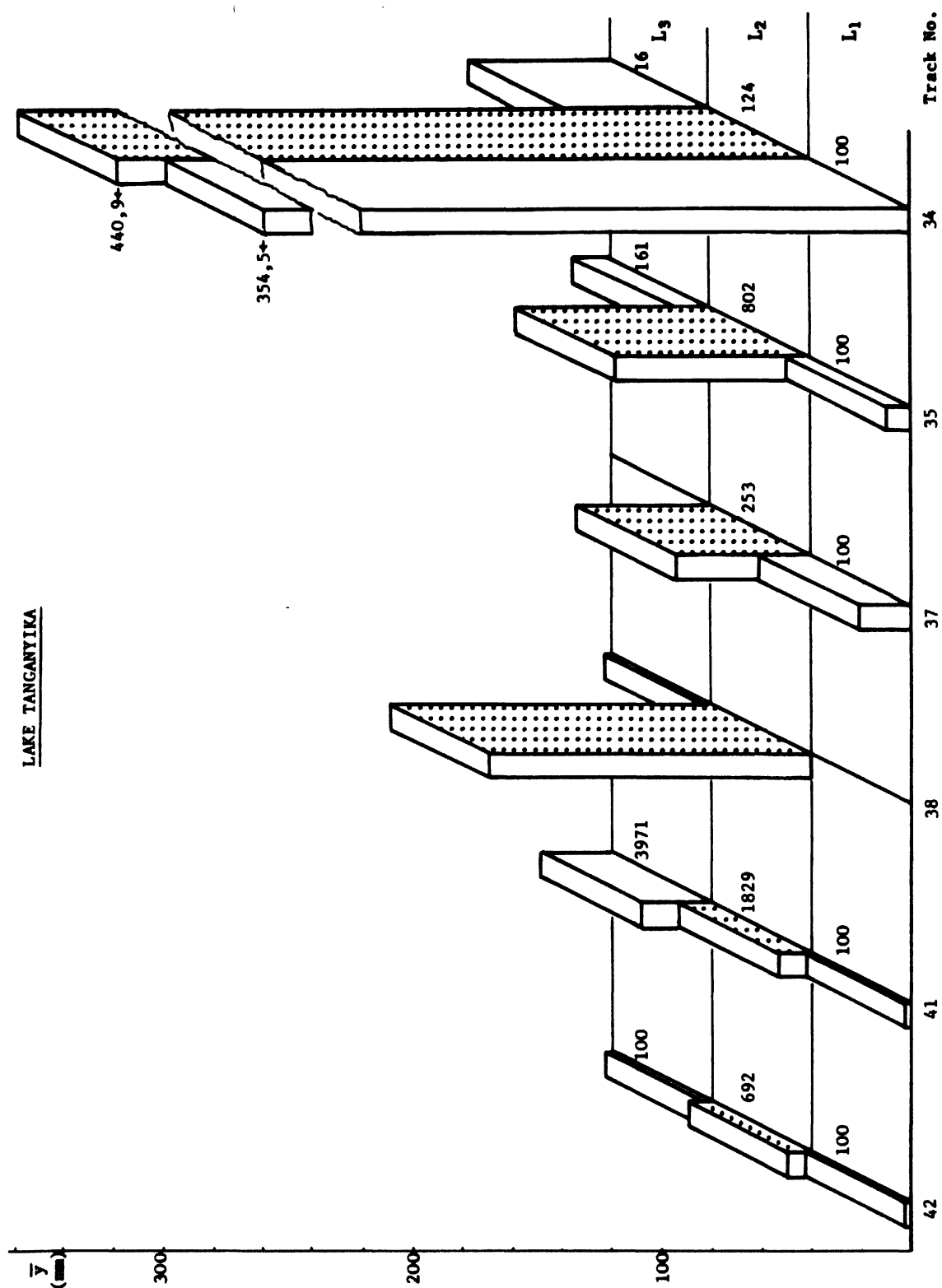


Figure 5.4.9 Dispersion pattern of fish within Stratum 4: Day period

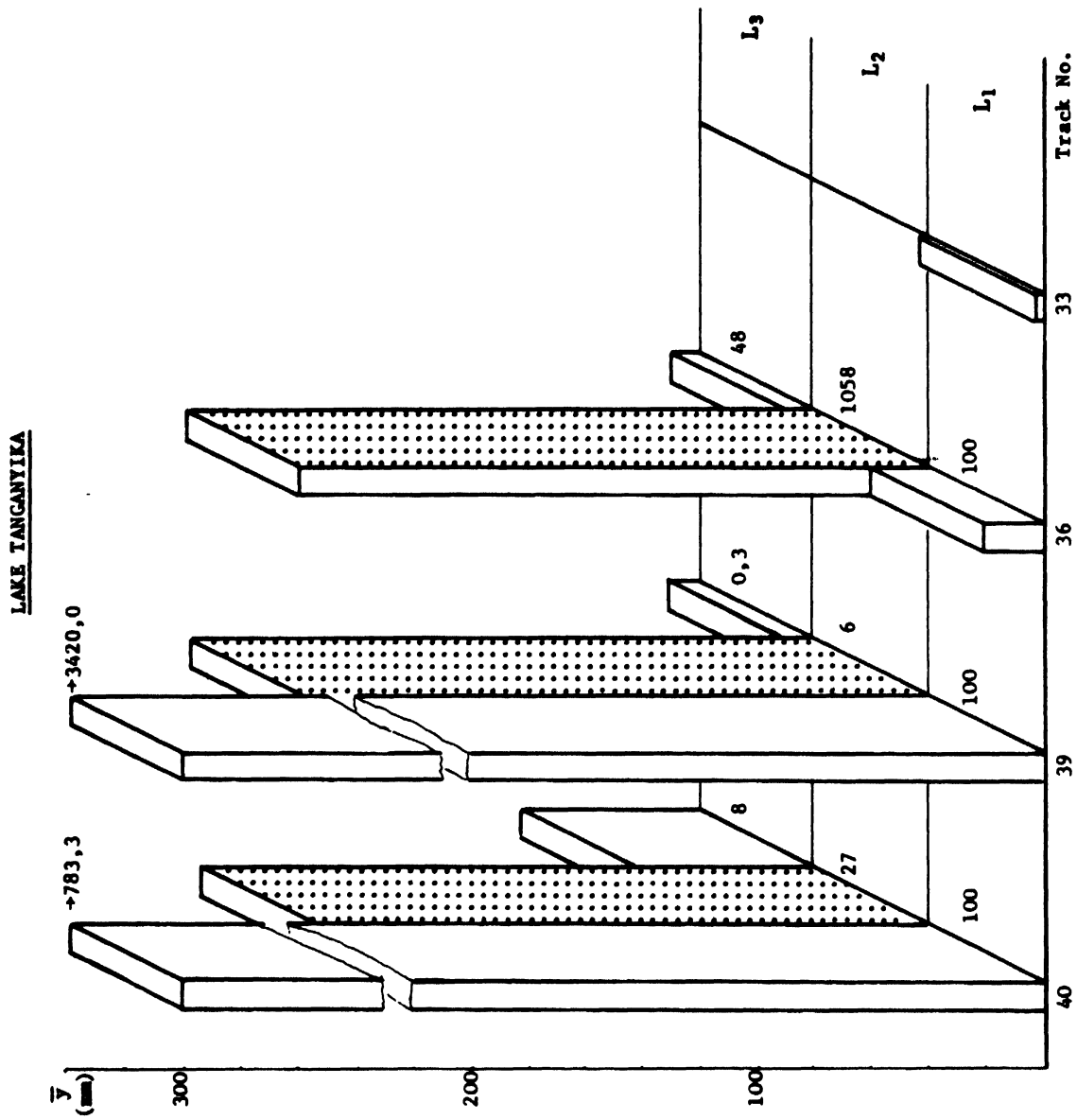


Figure 5.4.10 Dispersion pattern of fish within Stratum 4: Night period

## CHAPTER 6: TYPE AND SOURCES OF ERRORS IN ECHO SURVEYS<sup>1/</sup>

### 6.1 Introduction

In this chapter we discuss the various types of errors (sampling errors and non-sampling errors) inherent in the survey system of an echo survey and their effect on the results of the survey. It is believed that the direction and size of the various types of errors of the survey (one occasion) is a function of the diel behavioural pattern of the surveyed population.

### 6.2 Sources and causes of errors

From a sampling point of view, detailed knowledge of the possible sources and causes of errors of a large-scale survey will help to minimize their operation through the adoption of appropriate survey procedures and techniques. It is safe to assume that in echo surveys certain factors have been left free to operate and form errors in the results of the surveys. Looking at the data of the main echo survey in Lake Tanganyika from the point of view of distortion caused by the error factors, I prepared the block diagram given below (Figure 6.2.1). I have divided the spectrum of potential errors into two domains, i.e. (1) External errors (of statistical sampling nature); and (2) Internal errors (non-sampling errors and technical errors). Specifically, external errors are due to the level of efficiency of the line sample of the survey which is a function of the type of spatial distribution of the surveyed population (see also section 6.3). Internal errors are attributed to the behaviour pattern of the surveyed population and measuring procedure of the survey.

#### 6.2.1 Internal errors in echo surveys

The main sources of non-sampling errors and technical errors in echo surveys have been grouped into four categories by taking into account the nature of the source of errors (Figure 6.2.1), i.e:

- 2.1 Coverage errors of type-1
- 2.2 Coverage errors of type-2 / Avoidance errors
- 2.3 Measurement errors
- 2.4 Other kind of errors

Further, within each of the above main sources of errors, I recorded the various factors which operate and form the respective errors (Figure 6.2.1). Specifically:

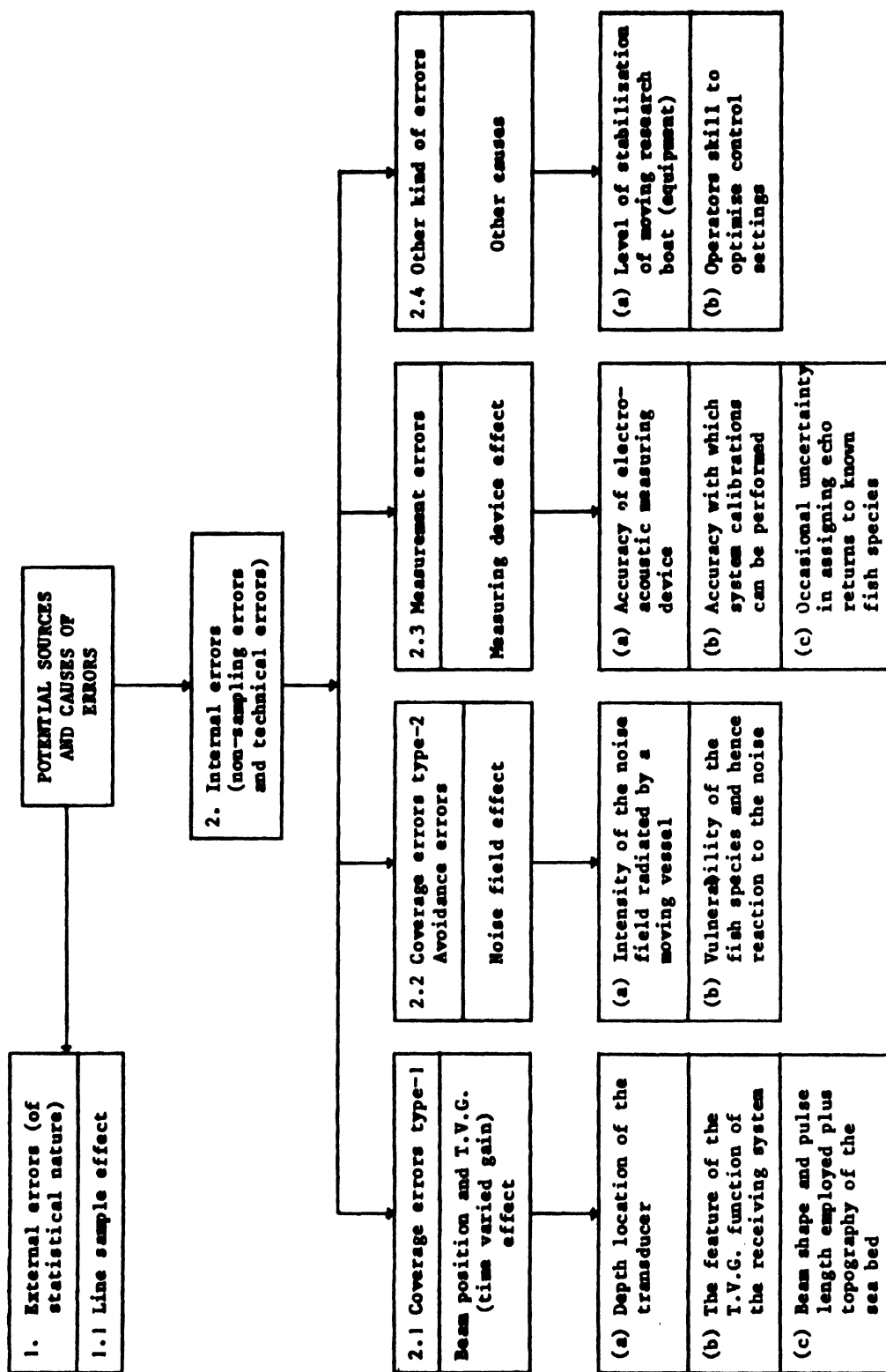
- 2.1 The coverage errors of type-1 originate primarily from limitations regarding the minimum depth at which the acoustic beam produces quantifiable fish echoes. This depth usually ranges from 5-7 m and is determined by two factors: (i) The depth location of the transducer which, for hull mounted transducers, may vary between 2 and 4 m, depending on vessel type; and (ii) The feature of the T.V.G. (time varied gain) function of the receiving system which precludes an efficient signal reception for an initial time period corresponding to a range of 3 m immediately below the transducer.

Secondly, there are coverage errors (usually not very serious when dealing with pelagic species) which depend on the beam shape and pulse length employed, plus the topography of the sea bed.

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<sup>1/</sup> I would like to acknowledge that I received very useful comments from K.A. Johannesson (FAO-Fishery Resources Officer) during the preparation of this chapter

**Figure 6.2.1** Potential errors of echo surveys



2.2 The magnitude of the coverage errors of type-2/avoidance errors is apparently a function of a number of factors, such as:

- (i) The intensity of the noise field radiated by a moving vessel
- (ii) The vulnerability of the fish species and hence reaction (fright?) to this noise, which can be conceived as a disturbance-zone of a high level near the surface but diminishing with increased depth
- (iii) The degree to which this zone penetrates the natural environment of the species concerned, e.g. those which typically ascend and form near-surface shoals during day time, or species that always remain relatively near the surface simply because of shallow water depths

2.3 The measurement errors are attributed to the inaccuracy of the electroacoustic measuring device and their overall magnitude largely depends on the accuracy with which system calibrations can be performed.

2.4 Other kind of errors are those related to:

- (i) The fact that the vessel is pitching, rolling and tossing, etc., and the transducer (when stabilized) has to move with it
- (ii) The operators skill to optimize control settings

### 6.3 Differences between day and night observations

Directly connected with the effect of the various types of errors described above, are the observed differences between day and night measurements<sup>1/</sup>. In spite of the standardization of the field operations of the survey, the magnitude of external errors is higher during the day time rather than during the night. Imagine someone at a cross-road, without traffic lights, and he crosses the road straight away (instantaneous reference period) without taking any precaution. From a mathematical point of view, the probability (P) of the person crossing the road being hit by a car is a function of the level of flow of cars. If there is no flow of cars, the value of P is equal to zero. If there is a continuous flow of cars the value of P is equal to 1,  $0 \leq P \leq 1$ . Furthermore, if the traffic flow per unit of time is given the probability of a person crossing the road and being hit by a car is a function of the "packing" pattern of cars. This in turn means that with an instantaneous reference period in the survey system of our survey, the level of efficiency of the line sample is a function of the packing pattern of moving fish. The efficiency of the sample is higher when the packing pattern of fish is expressed by layers of fish (night time observations) rather than when it is expressed by shoals of fish (day time observations). External errors lead to an under-estimation of the surveyed magnitude in day time.

It has been observed that during the survey period fish biomass tended to concentrate closer to the surface during the day rather than during the night. This in turn means that the magnitude of the internal errors of type 2.1 and 2.2, i.e. coverage errors of type-1 and coverage errors of type-2 and avoidance errors are bigger in day time rather than in night time. These errors lead to an under-estimation of the surveyed magnitude in day time.

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<sup>1/</sup> The estimated average overall ratio between night and day measurements was 4:1

Finally, it has been indicated that the measuring accuracy of the device is better when we are dealing with layers of fish (night time measurements) rather than with shoals of fish (day time measurements).

From the foregoing discussion one can see that the joint effect of the various sources of error (internal and external) are responsible for the observed differences between day time and night time measurements.

To get an idea of the joint effect of the various sources of errors on the accuracy of the sample estimates, I prepared Figure 6.3.1. In the diagram<sup>1/</sup> there are graphical presentations of the confidence intervals ( $P = 95\%$ ) for  $\bar{Y}_h$  (population average integrator readings per ESDU) for day and night and within the established strata. A visual assessment of the respective charts indicates that:

- Str.3      There are highly significant differences between day and night observations
- Str.2      There are significant differences between day and night observations
- Str.1,4    The established confidence intervals are overlapping

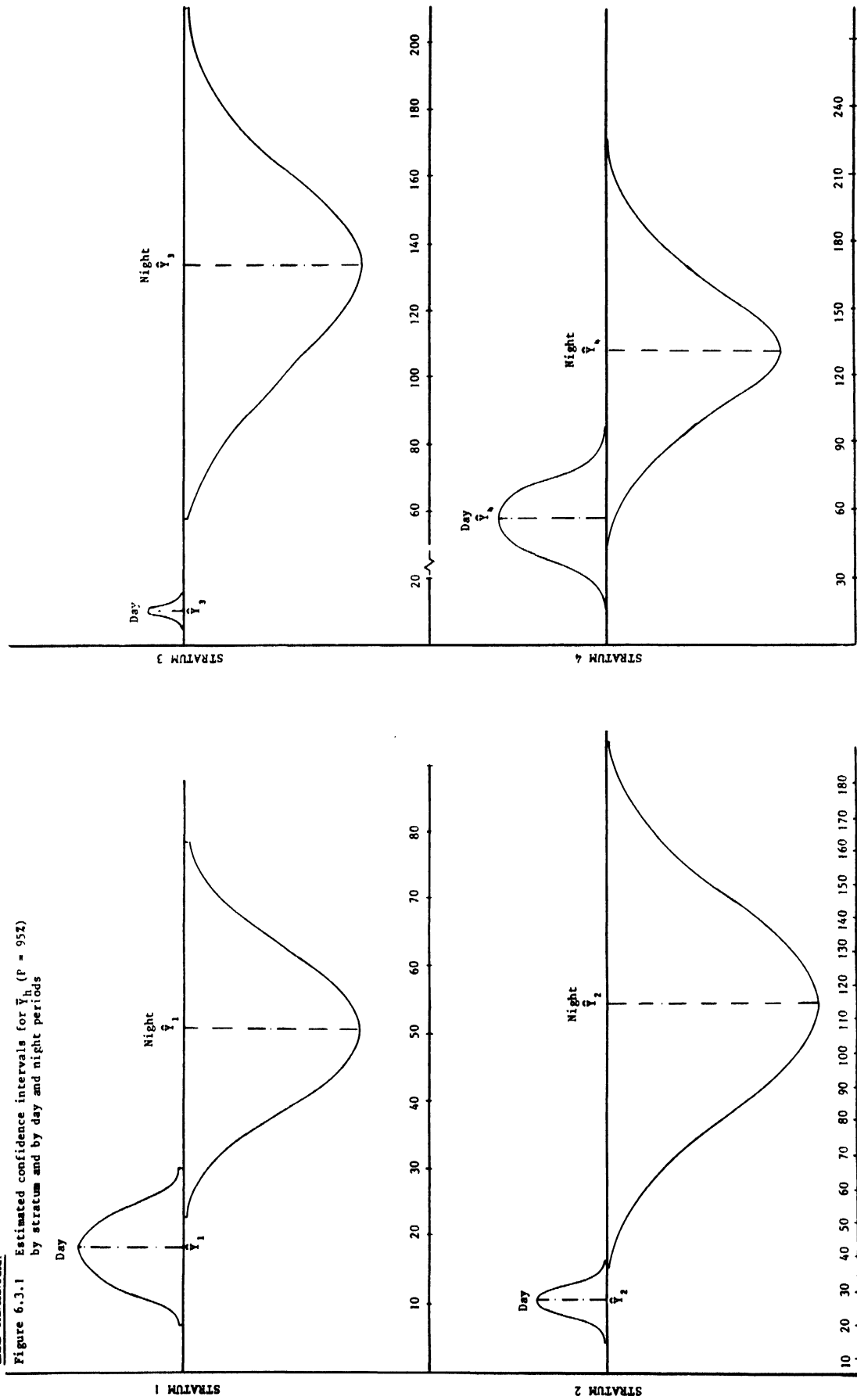
The observed inconsistency in the level of statistical significance between day and night estimates of the surveyed magnitude portrays the unknown weighting system on the basis of which the various sources of errors affect the sample observations.

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<sup>1/</sup> For calculations the sample data of the horizontal tracks only were taken into account



## LAKE TANGANYIKA

Figure 6.3.1 Estimated confidence intervals for  $\bar{y}_h$  ( $P = 95\%$ ) by stratum and by day and night periods

## CHAPTER 7: ESTIMATION OF POPULATION VALUES

### 7.1 Introduction

In this chapter I determine the estimators which can be used to calculate the surveyed population values (see Figure 7.2.1) and their sampling errors<sup>1/</sup>. Also, the relative efficiency of the different estimation procedures is discussed.

### 7.2 Method of collapsed strata

One method which can be used to estimate the population values is the method of collapsed strata. For the application of the method we should extend the stratification of the lake to the point where the sample contains only one track in each sub-stratum. For our purposes, and in order to simplify the analysis of the available sample observations, a sub-stratum is formed by considering the water up to  $\alpha$  miles on either side of a given sample track<sup>2/</sup>, i.e.  $\alpha$  equals three miles for the northern part of the lake, and five miles for the southern part. In this method, in which a track has been selected from each sub-stratum, the sampling variance is estimated by pairing the sub-strata to form collapsed strata. Since the precision of the method increases if the pairing can be so arranged (before the collection of data) that the strata forming the pair are about equal in size (total or average of the survey variate), I formed collapsed strata by pairing adjacent sub-strata within the existing strata.

Notation<sup>3/</sup>:

- $i$  : stands for a given sub-stratum
- $k$  : stands for a given collapsed stratum
- $y_{hi}$  : total integrator reading (mm) of the sample track in the  $i^{\text{th}}$  sub-stratum, within the  $h^{\text{th}}$  stratum
- $n_{hi}$  : number of ESDUs in the sample track
- $\hat{\beta} \hat{w}_{hi} = \hat{C} A_{hi} \left( \frac{y_{hi}}{n_{hi}} \right)$  : Estimated total biomass (t) in the  $i^{\text{th}}$  sub-stratum
- $\hat{\beta} \hat{w}_h = \sum_i \hat{\beta} \hat{w}_{hi}$  : Estimated total biomass (t) in the  $h^{\text{th}}$  stratum
- $\hat{\beta} \hat{W} = \sum_h \hat{\beta} \hat{w}_h$  : Estimated total biomass (t) for the lake as a whole

- 
- <sup>1/</sup> Estimators have been developed under the following two assumptions: (a) in the field operations of the survey, the same shoals/layers have not been covered more than once over the successive sample transects of the survey (see also Chapter 4); and (b) the calibration method of the survey was free of errors. The statistical efficiency of the calibration method of echo surveys will be discussed in another paper. Also, in the same paper, I will determine the estimator of the overall variance of the sample estimates and its analysis into components. For estimation purposes the night sample observations of the horizontal tracks of the survey were used.
- <sup>2/</sup> Under ideal conditions the lake should be stratified in advance into a number of limnological zones (horizontally) and one sample track should be selected randomly within the established sub-strata (limnological zones).
- <sup>3/</sup> See also section 3.5

$$v(\hat{\beta}_{hk}) = (\hat{\beta}_{h1} - \hat{\beta}_{h2})^2 : \text{Estimated variance of the estimated total biomass in the } k^{\text{th}} \text{ collapsed stratum}_1/$$

$$v(\hat{\beta}_h) = \sum_k v(\hat{\beta}_{hk}) : \text{Estimated variance of the estimated total biomass in the } h^{\text{th}} \text{ stratum}$$

$$v(\hat{\beta}) = \sum_h v(\hat{\beta}_h) : \text{Estimated variance of the estimated total biomass for the lake as a whole}$$

By applying the estimators established above on the sample data estimates were calculated of the survey characteristics. The Table below (Table 7.2.1) shows both the estimates of the total biomass of pelagic fish by stratum, major stratum and for the lake as a whole, and of the sampling variance, sampling error and relative sampling error of the estimated magnitudes. Also, in columns 7 and 8 of the Table, the confidence limits ( $P = 95\%$ ) of the parameters under estimation are given<sup>2/</sup>. Major strata were formed by grouping together the established strata. Specifically, Major Stratum I consists of Strata 1,2,3 (northern part of the lake with sample transects at 6 n mi intervals) and Major Stratum II consists of Strata 4,5 (southern part of the lake with sample transects at 10 n mi intervals).

**Table 7.2.1** Point estimates and estimated confidence intervals for the total biomass of pelagic fish in the lake ( $P = 95\%$ ) by stratum, major stratum, and for the lake as a whole (in metric tons)

Major Str. (1)	Str. (2)	Point estimates (3)	Sampling variances (4)	Sampling errors (5)	Relative sampling errors (6)	Conf. intervals (95%)	
						Lower limit (7)	Upper limit (8)
TOTAL:		3051953	438664796780	662317	21.70	1612698	4491168
I		997717	22145267449	148812	14.92	524198	1471236
1		88686	104572816	10226	11.53	56147	121225
2		474930	17039000997	130533	27.48	*	*
3		434101	5001693626	70722	16.29	*	*
II		2054236	416519529331	645383	31.42	*	*
4		2015940	416256862482	645179	32.00	*	*
5		38296	262666849	16207	42.32	*	*

\* The sampling distribution of the estimate is highly dispersed

<sup>1/</sup> The quantity  $v(\hat{\beta}_{hk})$  overstates the true variance of  $\hat{\beta}_{hk}$ . The overstatement depending upon the extent to which  $\hat{\beta}_{hk}$  strata forming the same pair differ with respect to their totals

<sup>2/</sup> One should remember that the size of the total biomass is not fixed over time and that the tabulated data (Table 7.2.1) gives the size of the estimated magnitudes at a given period of time, i.e. November 1973

An assessment of the results of the method of collapsed strata (Table 7.2.1) leads to the following conclusions:

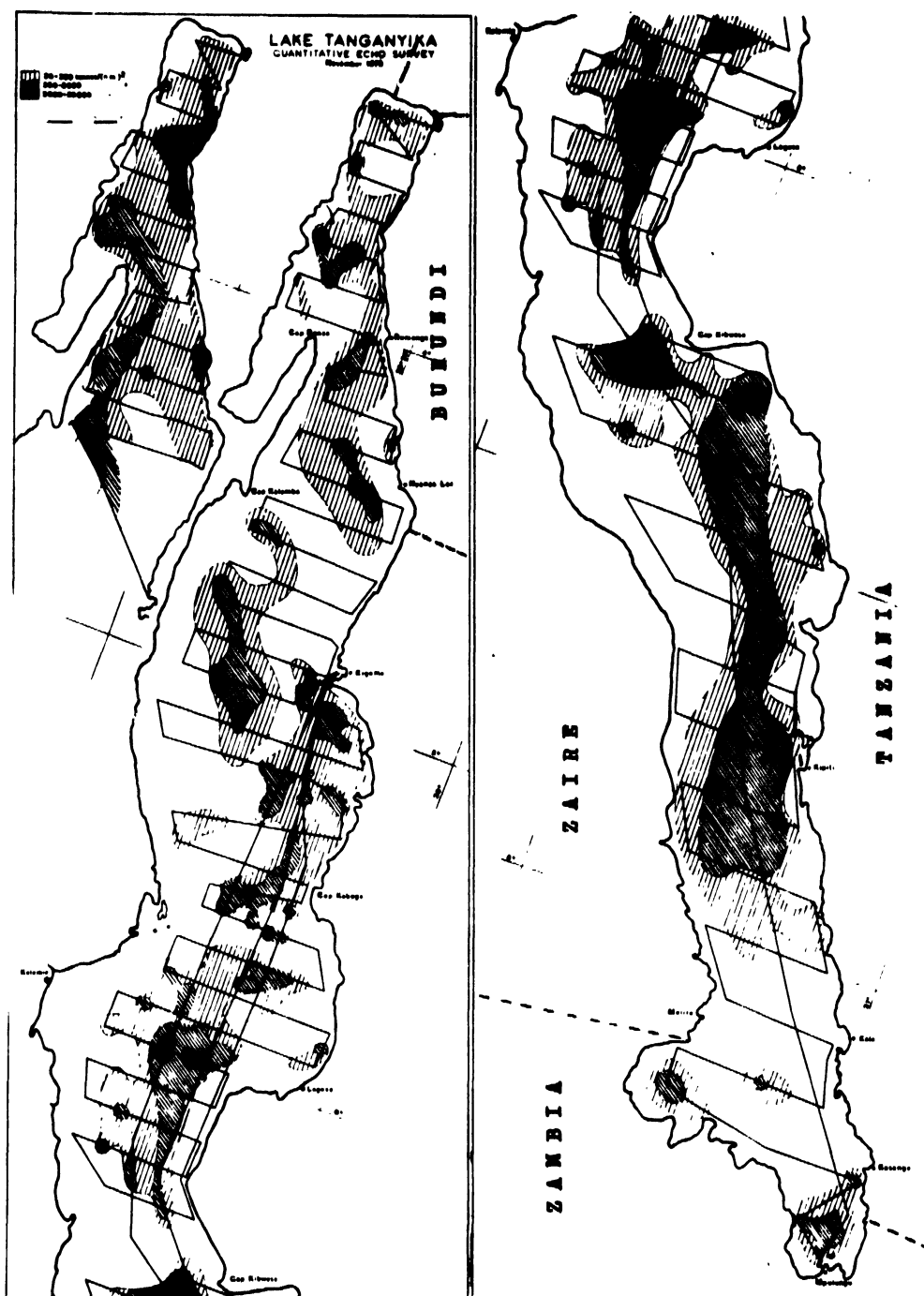
- (a) The strata variance is a function of the level of abundance of pelagic fish and proportional allocation of the sample tracks between strata is not a beneficial method. In our case the optimum allocation must be used.
- (b) The sample size in Major Stratum II (sample transects at 10 n mi intervals) should be considered as a small sample for the survey and this is reflected in the estimated relative sampling error.
- (c) The level of precision achieved in Major Stratum II has affected the level of reliability of the sample estimates of total biomass of pelagic fish for the lake as a whole.
- (d) The level of precision of the estimated magnitude for Major Stratum I should be considered as good.
- (e) The precision of the method of collapsed strata would be highly improved if the sample of the survey in the strata with a high abundance of fish (specifically Str. 2 and 4) was based on transects at 3 n mi intervals and in the remaining ones at 6 n mi intervals.

The Table below (Table 7.2.2) gives the point estimates based on the methods of collapsed strata and of the "graphical method" used in the survey<sup>1/</sup>.

Table 7.2.2 Point estimates of the total biomass of pelagic fish in Lake Tanganyika based on various estimation procedures (in metric tons)

Major Str.	Str.	Point estimates		Point estimates (%)		
		Graphical method	Method of collapsed strata	Graphical method		Method of collapsed strata
TOTAL:		2600000	3051953	100.00		100.00
I		820000	997717	31.54	100.00	32.69
	1	120000	88686		14.63	8.89
	2	360000	474930		43.90	47.60
	3	340000	434101		41.47	43.51
II		1780000	2054236	68.46	100.00	67.31
	4	1700000	2015940		95.51	98.13
	5	80000	38296		4.49	1.87

<sup>1/</sup> For estimation purposes in the main survey, the day time sample data were multiplied by 4 (overall correcting factor) before they matched with the night time sample data



**Figure 7.2.1** Relative abundance of pelagic fish stocks in Lake Tanganyika

In the above Table the point estimates based on the two estimation procedures do not show any statistically significant differences at  $P = 95\%$ .

In Figure 7.2.1 the qualitative results of the echo survey based on the graphical method are portrayed.

### 7.3 A second estimator for total biomass of pelagic fish

Another method to estimate the total biomass of pelagic fish in the lake can be developed by considering the sample tracks (horizontal tracks) within the established strata as units of random samples selected from the survey population. Also, since we are dealing with sample tracks of various sizes, as far as the number of ESDUs is concerned, the following estimator can be used for the calculation of the surveyed population total:

Notation:  $j$  : The suffix  $j$  stands for a given track

$h$  : The suffix  $h$  stands for a given stratum

$y_{hj}$  : Total integrator reading (mm) of the  $j^{\text{th}}$  track in stratum  $h$

$n_h$  : Number of sample tracks in the  $h^{\text{th}}$  stratum

$\hat{\beta}_{h(j)} = \hat{C}A_h \frac{y_{hj}}{n_{hj}}$  : Estimated total biomass in the  $h^{\text{th}}$  stratum based on the  $j^{\text{th}}$  track and  $n_{hj}$  number of ESDUs in the  $j^{\text{th}}$  track

$\hat{\beta}_h = \frac{1}{n_h} \sum_j \hat{\beta}_{h(j)}$  : Estimated total average biomass in the  $h^{\text{th}}$  stratum

$\hat{\beta} = \sum_h \hat{\beta}_h$  : Estimated total biomass for the lake as a whole

$v(\hat{\beta}_h) = \frac{1}{n_h(n_h-1)} \left\{ \sum_j \hat{\beta}_{h(j)}^2 - \frac{(\sum_j \hat{\beta}_{h(j)})^2}{n_h} \right\}$   
: Estimated variance of the estimated total biomass in the  $h^{\text{th}}$  stratum

$v(\hat{\beta}) = \sum_h v(\hat{\beta}_h)$  : Estimated variance of the estimated total biomass for the lake as a whole

An assessment of the calculated precision of the estimated magnitude indicates that the method is not the proper one for our purposes. The estimated relative sampling error for the lake as a whole was of the level of about 50 percent.

### 7.4 A third estimator for total biomass of pelagic fish

An assessment of the dispersion pattern of pelagic fish in the lake (Chapter 5) indicates that the precision of the estimates based on unstratified sample (section 7.3) can be highly improved if the method of stratified sampling based on interpenetrating sub-samples is used. In such a case the lake should be divided into not less than 30 strata by horizontal partition lines and into 3 main sets of water-roads, dividing the lake lengthwise. Two sample tracks should be selected within the established cells (systematic selection, in order to simplify the field operations). It is expected that if the proper control characteristics for stratification should be employed, this method would provide much more precise estimates than those of the method described in section 7.3.

### 7.5 The relative efficiency of precision

In sampling techniques, the relative efficiency of precision of two different methods of sampling based on the same type of sampling unit may be defined as the reciprocal of the ratio of the sampling variances of the estimates given by the two methods when the same number of units are taken. In our case estimates of the precision of the survey characteristic were calculated by using the method of collapsed strata (section 7.2) and the method of unstratified sampling (section 7.3). An estimate of the relative efficiency of the two methods for the lake as a whole is given by:

$$RE_{(pr)} = \frac{cv^2_1(\hat{\beta}\hat{W})}{cv^2_2(\hat{\beta}\hat{W})} = \frac{50^2}{21.70^2} = 5.31$$

where,  $cv_2(\hat{\beta}\hat{W})$  : Is the coefficient of variation of the estimated magnitude based on method 2 (section 7.3)

$cv_1(\hat{\beta}\hat{W})$  : Is the coefficient of variation of the estimated magnitude based on method 1 (section 7.2)

An assessment of the estimated value of  $RE_{(pr)}$  indicates that the method of unstratified sample provides five times the maximum variance of the estimated characteristic (total biomass of pelagic fish in the lake) as the method of collapsed strata.





APPENDIX I

. A MATHEMATICAL MODEL FOR ACOUSTIC SURVEY  
IN LAKE TANGANYIKA



## A MATHEMATICAL MODEL FOR ACOUSTIC SURVEY IN LAKE TANGANYIKA<sup>1/</sup>

### Introduction

The main objective of this analysis was to attempt to fit a mathematical model to the empirical data of the survey in order to explain the spatial distribution of the fish stocks, and to obtain a suitable transformation to normalize the frequency distribution of the data in order to perform further statistical analysis such as regression and analysis of variance.

### Methodology<sup>2/</sup>

For this analysis Stratum 2, the largest stratum was selected. The basic variable considered was  $y$  = integrator reading. Also, it was recognized that the fish move in schools at day time and in layers at night. Hence day time and night time data were treated separately.

### Night data

Firstly, the night data were arranged in a frequency distribution table. The sample mean ( $\bar{y}$ ) and variance ( $s^2$ ) were then computed. The purpose was to compare the magnitudes of the two statistics  $\bar{y}$  and  $s^2$ , to indicate which theoretical distribution might be a suitable model. Now for this type of population, three mathematical distributions are possible models, namely Positive Binomial ( $\sigma^2 < \mu$ ), Poisson ( $\sigma^2 = \mu$ ), and Negative Binomial ( $\sigma^2 > \mu$ ), where  $\mu$ ,  $\sigma^2$  are population mean and variance respectively;  $\bar{y}$  was found to be 170.90, whilst  $s^2$  was 141896.61. As  $\bar{y}$  and  $s^2$  are unbiased estimates of the population mean ( $\mu$ ) and variance ( $\sigma^2$ ) and  $s^2 > \bar{y}$ , there is a strong indication that the negative binomial distribution is the most probable model. The chi-squared "goodness-of-fit" test was applied to ascertain the adequacy of the model, but unfortunately the relevant negative binomial distribution tables were not available. Assuming the model to be adequate, the spatial dispersion of the population could be described as a contagious distribution or clumped distribution. The negative binomial distribution is given by  $p^k (1-q)^{-k}$ , where  $\frac{1}{q} = \frac{\mu}{k}$ ,  $q = 1-p$  and  $k$  is estimated by  $\frac{\bar{y}^2}{s^2 - \bar{y}}$ . The individual terms are given by:

$$P(x) = \left(1 + \frac{\mu}{k}\right)^{-k} \frac{(k+x-1)!}{x!(k-1)!} \left(\frac{\mu}{\mu+k}\right)^x$$

where  $P(x)$  is the probability of  $x$  individuals in a sampling unit. In our case,  $\frac{\mu}{k} = 0.21$ .

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<sup>1/</sup> Prepared by S. Adjei, FAO Fellow, Department of Fisheries, who was working under my supervision during the period 20 June to 12 July 1974 to round out two-year fellowship studies in the United States, Canada and Italy

<sup>2/</sup> See also: G.P. Bazigos - Applied Fishery Statistics, FIPS/T.135, p. 42-50

### Logarithmic transformation

The next step was to find a suitable transformation to normalize the frequency distribution. Now for a negative binomial distribution with  $k$  less than two, the usual transformation is the logarithmic transformation. In particular the zero counts in the data suggested the transformation  $Z = \log_e (y+1)$  as the appropriate transformation for normality. To test the validity of this transformation the chi-squared "goodness-of-fit" test was applied. The transformed data were assumed to follow the normal distribution whose mean and variance were estimated by the corresponding sample values. The expected frequencies ( $f'_i$ ) were obtained by considering the cumulative distribution tables of the normal distribution. The chi-squared statistic,

$$\chi^2 = \sum_{i=1}^n \frac{(f_i - f'_i)^2}{f'_i}$$

was then computed to be 28.11, where  $f_i$  = observed frequencies, and  $n$  = number of frequency classes. Now, under the hypothesis of normality the above statistic follows a chi-squared distribution with  $n-3$  degrees of freedom (df). Now  $n = 32$ , therefore  $df = 29$ . But the tabulated value for 95 percent level of  $\chi^2(29) = 42.56$ . Hence, it is concluded that at 95 percent confidence level the normal distribution fits our empirical data adequately. Hence the recommended transformation is  $Z = \log_e (y+1)$ . The analysis was repeated for the day time data and the negative binomial distribution was again found to be the appropriate mathematical model. Again the transformation  $\log (y+1)$  was found to normalize the data. Except for two points out of 41 which could be treated as outliers all the remaining 39 points in the frequency distribution table satisfied the chi-squared criterion.

## STRATUM 2 - NIGHT DATA

$$\text{Mean } \bar{y} = \frac{\sum f y_i}{n} = \frac{7007}{41} = 170.90$$

$$\begin{aligned} \text{Sample variance } s^2 &= \frac{\sum (f y^2) - \bar{y} \sum f y}{n-1} \\ &= \frac{6873361.00 - 1197496.30}{40} \\ &= 141896.61 \end{aligned}$$

$$s^2 > \bar{y} = \text{negative binomial model}$$

$$\hat{k} = \frac{\bar{y}^2}{s^2 - \bar{y}} = 0.21$$

$$\text{Log transformation } z = \log_e (y+1)$$

$$s.d. = \sqrt{s^2} = s = 376.69$$

Transformed data:

$$\bar{z} = \frac{\sum \log_e (y+1)}{n} = 3.57$$

$$s_1^2 = \frac{\sum (f z^2) - \bar{z} \sum f z}{n-1} = 3.53$$

$$s_1 = \sqrt{3.53} = \underline{1.88}$$

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STRATUM 2 - NIGHT DATA

f	y	y <sup>2</sup>	fy	fy <sup>2</sup>	Z = log <sub>e</sub> (y+1)	Z <sup>2</sup> f	Zf
1	0	0	0	0	0.00	0.00	0.00
2	2	4	4	8	1.10	2.42	2.20
2	4	16	8	32	1.61	5.18	3.22
1	5	25	5	25	1.79	3.20	1.79
1	6	36	6	36	1.95	3.80	1.95
2	7	49	14	98	2.08	8.33	4.16
2	10	100	20	200	2.40	11.52	4.80
1	11	121	11	121	2.48	6.15	2.48
1	14	144	12	144	2.56	6.55	2.56
1	16	256	16	256	2.83	8.01	2.83
1	20	400	20	400	3.04	9.24	3.04
2	28	784	56	1568	3.37	22.71	6.74
1	29	841	29	841	3.40	11.56	3.40
1	30	900	30	900	3.43	11.76	3.43
1	36	1296	36	1296	3.61	13.03	3.61
2	38	1444	76	2888	3.66	26.79	7.32
2	42	1764	84	3528	3.76	28.14	7.52
2	48	2304	96	4608	3.89	30.13	7.78
1	52	2704	52	2704	3.97	15.76	3.97
1	55	3025	55	3025	4.03	16.24	4.03
1	71	5041	71	5041	4.28	18.32	4.28
1	72	5184	72	5184	4.29	18.33	4.29
1	94	8836	94	8836	4.55	20.70	4.55
2	105	11025	210	22050	4.66	43.42	9.32
1	304	92416	304	92416	5.72	32.72	5.72
1	310	96100	310	96100	5.74	32.95	5.74
1	366	133956	366	133956	5.91	34.93	5.91
1	450	202500	450	202500	6.11	37.33	6.11
1	470	220900	470	220900	6.15	37.82	6.15
1	880	774400	880	774400	6.78	45.97	6.78
1	1170	1368900	1170	1368900	7.06	49.84	7.06
1	1980	3920400	1980	3920400	8.44	71.23	8.44
Total n = 41						688.08	153.18

Log transformation:  $Z = \log_e(y+1)$

ACOUSTIC SURVEY - LAKE TANGANYIKA  
STRATUM 2 - NIGHT DATA

$y_i$	$f_i$	$x$	$F_i(x)$	$nF_i(x)$	$n(F_{i+1}^{f_i} - F_i)$	$(f_i - f_i^1)^2$	$\chi^2$
0	1	-1.90	0.03	1.23	1.23	0.05	0.04
2	2	-1.31	0.10	4.10	2.87	0.76	0.26
4	2	-1.04	0.15	6.15	2.05	0.0025	0.00
5	1	-0.95	0.17	6.97	0.82	0.03	0.04
6	1	-0.86	0.19	7.79	0.82	0.03	0.04
7	2	-0.79	0.21	8.61	0.82	1.39	1.70
10	2	-0.62	0.27	11.07	2.46	0.21	0.09
11	1	-0.58	0.28	11.48	0.41	0.35	0.85
12	1	-0.54	0.29	11.89	0.41	0.35	0.85
16	1	-0.39	0.35	14.35	2.46	2.13	0.87
20	1	-0.28	0.39	15.99	1.64	0.41	0.25
28	2	-0.1063	0.45	18.45	2.87	0.76	0.26
29	1	-0.0904	0.46	18.86	0.41	0.35	0.85
30	1	-0.07	0.47	19.27	0.41	0.35	0.85
36	1	0.02	0.51	20.91	1.64	0.41	0.25
38	2	0.05	0.52	21.32	0.41	2.53	6.17
42	2	0.10	0.54	22.14	0.82	1.39	1.70
48	2	0.17	0.57	23.37	1.23	0.59	0.48
52	1	0.21	0.58	23.78	0.41	0.35	0.85
55	1	0.25	0.60	24.60	0.82	0.03	0.04
71	1	0.3776	0.64	26.24	2.05	1.10	0.54
72	1	0.3829	0.65	26.65	0.41	0.35	0.85
94	1	0.5212	0.70	28.70	2.05	1.10	0.54
105	2	0.58	0.72	29.52	0.82	1.39	1.70
304	1	1.14	0.87	35.67	6.15	26.52	4.31
310	1	1.1542	0.88	36.08	0.41	0.35	0.85
366	1	1.24	0.89	36.49	0.41	0.35	0.85
450	1	1.35	0.91	37.31	0.82	0.03	0.04
470	1	1.3723	0.92	37.72	0.41	0.35	0.85
880	1	1.71	0.96	39.36	1.64	0.41	0.25
1170	1	1.86	0.97	39.77	0.41	0.35	0.85
1980	1	2.59	1.00	41.00	1.23	0.05	0.04

$$z = \log_e(y+1) \quad x = \frac{z - \hat{\mu}}{\hat{\sigma}} \quad \chi^2 = 28.11$$

No. of frequency classes = 32

No. of parameters estimated from  $N(\mu, \sigma^2) = 2$

Therefore, d.f. =  $32 - 2 - 1 = 29$

Tabulated value of  $\chi^2_{(29)}$  at 95 percent level = 42.56

Hence normal distributions fit adequately at 95 percent confidence level

MATHEMATICAL MODEL FOR ACOUSTIC SURVEY OF LAKE TANGANYIKA  
STRATUM 2 - DAY DATA

Frequency distribution of integrator value (y)

f	19	18	5	6	2	2	1	2	5	1	1	4	2
y	0	1	2	3	4	5	6	7	8	9	11	12	13

f	1	2	2	3	3	1	1	1	2	1	3	1	1
y	14	17	18	22	24	26	30	31	32	36	42	45	46

f	2	1	2		1	1	1	1	1	1		1	
y	50	54	56		105	125	133	152	170	210		360	

$$n = 102$$

$$\sum f y_i = 2369$$

$$\bar{y} = 23.23$$

$$\sum f y_i^2 = 306091$$

$$\bar{y} \sum f y_i = 55031.87$$

$$\text{var}(y) = s^2 = \frac{\sum (f y_i^2) - \bar{y} \sum f y_i}{n-1}$$

$$= \frac{306091 - 55031.87}{101}$$

$$= 2485.74$$

i.e.  $s^2 > \bar{y}$  = Negative binomial model

$$\hat{k} = 0.22 \quad \text{Required trans-formation } y \rightarrow \log(y+1)$$



ACOUSTIC SURVEY - LAKE TANGANYIKA  
STRATUM 2 - DAY DATA

$y_i$	$f_i$	$x$	$F_i(x)$	$nF_i(x_i)$	$f'_i = n(F_{i+1} - F_i)$	$(f_i - f'_i)^2$	$\chi^2$
0	19	-1.26	0.10	10.20	10.20	77.44	7.59*
1	18	-0.82	0.20	20.40	10.20	60.84	5.96
2	5	-0.55	0.29	29.58	9.18	17.47	1.90
3	6	-0.37	0.36	36.72	7.14	1.30	0.18
4	2	-0.23	0.41	41.82	5.10	9.61	1.88
5	2	-0.11	0.46	46.92	5.10	9.61	1.88
6	1	-0.01	0.50	51.00	4.08	9.49	2.33
7	2	0.08	0.53	54.06	3.06	1.12	0.37
8	5	0.15	0.55	56.10	2.04	4.24	2.08
9	1	0.22	0.59	60.18	4.08	9.49	2.33
11	1	0.34	0.63	64.26	4.08	9.49	2.33
12	4	0.39	0.65	66.30	2.04	3.84	1.88
13	2	0.44	0.67	68.34	2.04	0.00	0.00
14	1	0.48	0.68	69.36	1.02	0.00	0.00
17	2	0.60	0.73	74.46	5.10	9.61	1.88
18	2	0.6322	0.74	75.48	1.02	0.96	0.94
22	3	0.76	0.78	79.56	4.08	1.17	0.29
24	3	0.86	0.79	80.58	1.02	3.92	3.84
26	1	0.81	0.81	82.62	2.04	1.08	0.53
30	1	0.95	0.82	83.64	1.02	0.00	0.00
31	1	0.9741	0.83	84.66	1.02	0.00	0.00
32	2	0.99	0.84	85.68	1.02	0.96	0.94
36	1	1.06	0.86	87.72	2.04	1.08	0.53
42	3	1.1612	0.87	88.74	1.04	3.84	3.69
45	1	1.2064	0.88	89.76	1.02	0.00	0.00
46	1	1.2193	0.89	90.78	1.02	0.00	0.00
50	2	1.27	0.90	91.80	1.02	0.96	0.94
54	1	1.3225	0.9066	92.47	1.02	0.00	0.00
56	2	1.3419	0.9099	92.81	0.34	2.76	8.11*
105	1	1.74	0.9591	97.83	5.02	16.16	3.22
125	1	1.8580	0.9686	98.80	1.02	0.00	0.00
133	1	1.8967	0.9713	99.07	0.27	0.53	1.96
152	1	1.98	0.9761	99.56	0.49	0.26	0.53
170	1	2.0516	0.9798	99.94	0.38	0.38	1.00
210	1	2.19	0.9857	100.54	0.60	0.16	0.27
360	1	2.54	0.9945	101.44	0.90	0.01	0.01

$$z = \log_e (y+1)$$

$$x = \frac{z - \hat{\mu}}{\hat{\sigma}}$$

$$n = 102$$

$$s_1 = \hat{\sigma} = 1.55$$

$$\chi^2 = 59.48$$

$$\chi^2 = 43.78^{**}$$

$$\chi^2_{est} = 43.78^{**} < \chi^2_{0.05, 33} = 43.80$$

\*\* Estimated  $\chi^2$  without the two values indicated by asterisk



APPENDIX II

## ESTIMATED DISPERSION MATRICES

( $\hat{d}_1$  : estimated Mean Difference of GINI)





xj fj	xi: 0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466	467	468	469	470	471	472	473	474	475	476	477	478	479	480	481	482	483	484	485	486	487	488	489	490	491	492	493	494	495	496	497	498	499	500	501	502	503	504	505	506	507	508	509	510	511	512	513	514	515	516	517	518	519	520	521	522	523	524	525	526	527	528	529	530	531	532	533	534	535	536	537	538	539	540	541	542	543	544	545	546	547	548	549	550	551	552	553	554	555	556	557	558	559	560	561	562	563	564	565	566	567	568	569	570	571	572	573	574	575	576	577	578	579	580	581	582	583	584	585	586	587	588	589	590	591	592	593	594	595	596	597	598	599	600	601	602	603	604	605	606	607	608	609	610	611	612	613	614	615	616	617	618	619	620	621	622	623	624	625	626	627	628	629	630	631	632	633	634	635	636	637	638	639	640	641	642	643	644	645	646	647	648	649	650	651	652	653	654	655	656	657	658	659	660	661	662	663	664	665	666	667	668	669	670	671	672	673	674	675	676	677	678	679	680	681	682	683	684	685	686	687	688	689	690	691	692	693	694	695	696	697	698	699	700	701	702	703	704	705	706	707	708	709	710	711	712	713	714	715	716	717	718	719	720	721	722	723	724	725	726	727	728	729	730	731	732	733	734	735	736	737	738	739	740	741	742	743	744	745	746	747	748	749	750	751	752	753	754	755	756	757	758	759	760	761	762	763	764	765	766	767	768	769	770	771	772	773	774	775	776	777	778	779	780	781	782	783	784	785	786	787	788	789	790	791	792	793	794	795	796	797	798	799	800	801	802	803	804	805	806	807	808	809	810	811	812	813	814	815	816	817	818	819	820	821	822	823	824	825	826	827	828	829	830	831	832	833	834	835	836	837	838	839	840	841	842	843	844	845	846	847	848	849	850	851	852	853	854	855	856	857	858	859	860	861	862	863	864	865	866	867	868	869	870	871	872	873	874	875	876	877	878	879	880	881	882	883	884	885	886	887	888	889	890	891	892	893	894	895	896	897	898	899	900	901	902	903	904	905	906	907	908	909	910	911	912	913	914	915	916	917	918	919	920	921	922	923	924	925	926	927	928	929	930	931	932	933	934	935	936	937	938	939	940	941	942	943	944	945	946	947	948	949	950	951	952	953	954	955	956	957	958	959	960	961	962	963	964	965	966	967	968	969	970	971	972	973	974	975	976	977	978	979	980	981	982	983	984	985	986	987	988	989	990	991	992	993	994	995	996	997	998	999	1000	1001	1002	1003	1004	1005	1006	1007	1008	1009	1010	1011	1012	1013	1014	1015	1016	1017	1018	1019	1020	1021	1022	1023	1024	1025	1026	1027	1028	1029	1030	1031	1032	1033	1034	1035	1036	1037	1038	1039	1040	1041	1042	1043	1044	1045	1046	1047	1048	1049	1050	1051	1052	1053	1054	1055	1056	1057	1058	1059	1060	1061	1062	1063	1064	1065	1066	1067	1068	1069	1070	1071	1072	1073	1074	1075	1076	1077	1078	1079	1080	1081	1082	1083	1084	1085	1086	1087	1088	1089	1090	1091	1092	1093	1094	1095	1096	1097	1098	1099	1100	1101	1102	1103	1104	1105	1106	1107	1108	1109	1110	1111	1112	1113	1114	1115	1116	1117	1118	1119	1120	1121	1122	1123	1124	1125	1126	1127	1128	1129	1130	1131	1132	1133	1134	1135	1136	1137	1138	1139	1140	1141	1142	1143	1144	1145	1146	1147	1148	1149	1150	1151	1152	1153	1154	1155	1156	1157	1158	1159	1160	1161	1162	1163	1164	1165	1166	1167	1168	1169	1170	1171	1172	1173	1174	1175	1176	1177	1178	1179	1180	1181	1182	1183	1184	1185	1186	1187	1188	1189	1190	1191	1192	1193	1194	1195	1196	1197	1198	1199	1200	1201	1202	1203	1204	1205	1206	1207	1208	1209	1210	1211	1212	1213	1214	1215	1216	1217	1218	1219	1220	1221	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4	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	39	43	50	60	68	372	1	372
6	2	0	1	2	4	6	7	8	10	11	12	16	19	21	23	37	41	48	58	66	332	2	664
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8	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	33	37	44	54	62	298	1	298
10	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	31	35	42	52	60	268	1	268
11	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	29	33	40	50	58	254	1	254
12	4	0	1	2	4	6	7	8	10	11	12	16	19	21	23	26	30	37	47	55	241	4	964
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19	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	22	26	33	43	51	181	1	181
21	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	20	24	31	41	49	167	1	167
23	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	18	22	29	39	47	155	1	155
41	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	14	18	25	35	43	65	1	65
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52	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	10	14	21	31	39	28	1	28
62	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	8	12	19	29	37	8	1	8
70	1	0	1	2	4	6	7	8	10	11	12	16	19	21	23	6	10	17	27	35	0	1	0

Str.3 - Day time

$\hat{d}_1 = 15.75 \text{ mm}$



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